

Neoadyuvancia en CPNCP

Mariano Provencio
Medical Oncology Department
Puerta de Hierro University Hospital
Majadahonda-Madrid

DISCLOSURES

Employment:

- Universidad Autónoma de Madrid.
- Chair of Medical Oncology Department at Puerta de Hierro Hospital

Research Grants:

AstraZeneca, Roche, BMS, Boehringer-Ingelheim, Takeda

Consultant:

AstraZeneca, BMS, Boehringer-Ingelheim, Celgene, MSD, Roche, Takeda, Thermo-Fisher

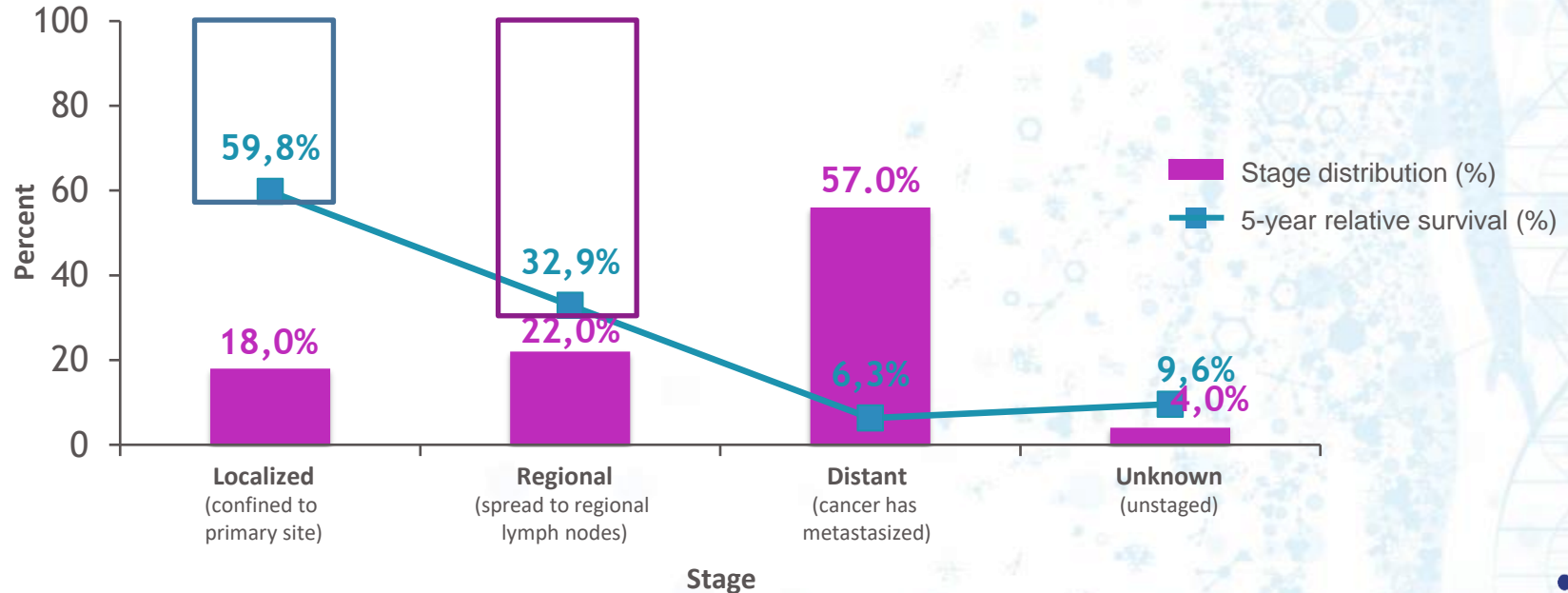
Stock holder:

- None

▪**NADIM IP CM 816 investigator**

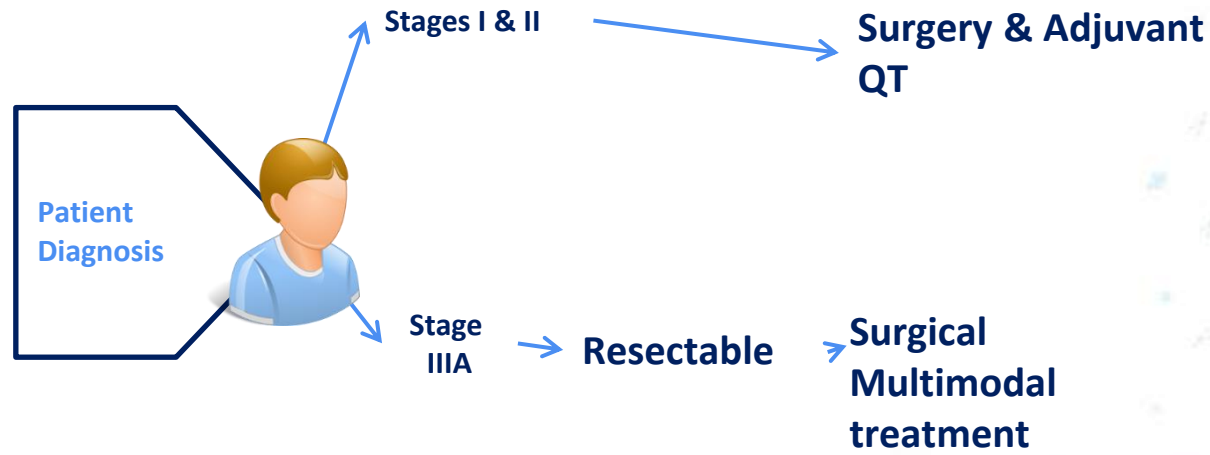
Disease burden and relative survival of patients with lung cancer by stage of disease at diagnosis in the United States

Stage distribution and 5-year relative survival of patients with lung cancer by stage at diagnosis for 2011–2017 in the US, all races, both sexes

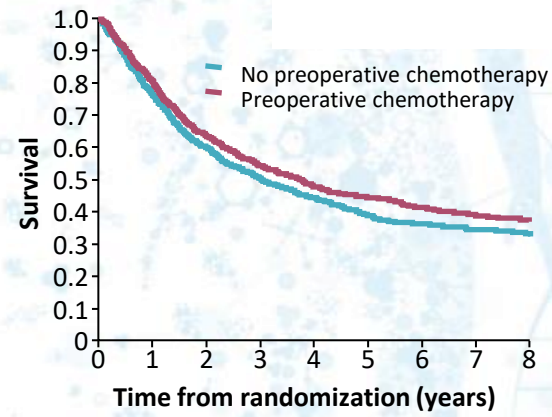
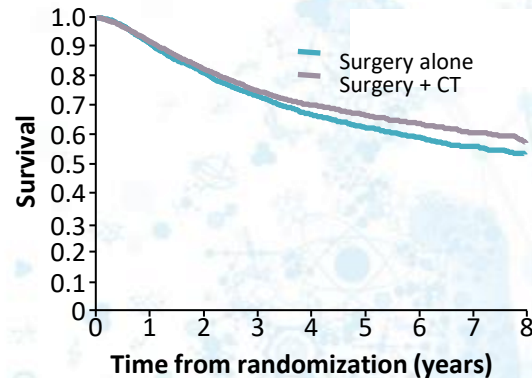


US, United States.
Surveillance, Epidemiology, and End Results Program (SEER). Cancer Stat Facts: Lung and Bronchus Cancer. Accessed June 15, 2021. <http://seer.cancer.gov/statfacts/html/lungb.html>.

Mariano Provencio, MD, PhD; Puerta de Hierro University Hospital, Madrid, Spain.



- **NEOADJUVANT and ADJUVANT therapies are treatment options that may improve outcomes in patients undergoing surgery**



The Effects of Preoperative Chemotherapy on the Resectability of Non-Small Cell Lung Carcinoma with Mediastinal Lymph Node Metastases (N2 M0)

Nael Martini, M.D., Mark G. Kris, M.D., Richard J. Gralla, M.D., Manjit S. Bains, M.D., Patricia M. McCormack, M.D., Larry R. Kaiser, M.D., Michael E. Burt, M.D., and Muhammad B. Zaman, M.D.

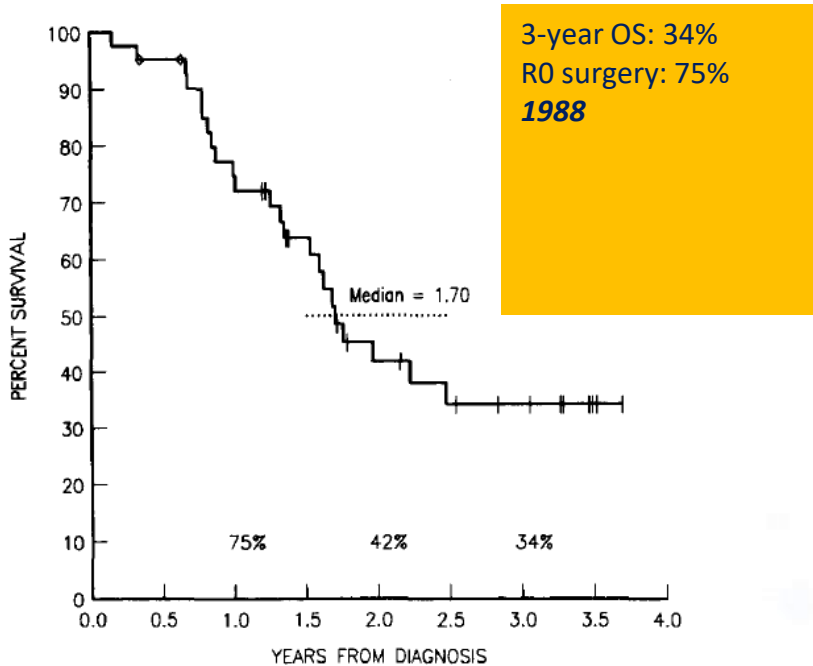


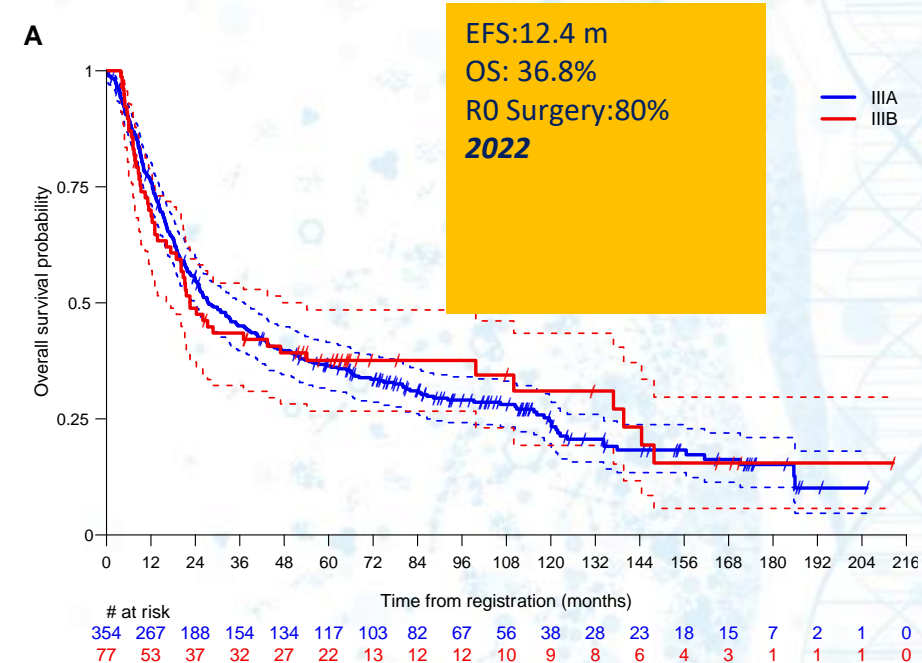
Fig 4. Survival from diagnosis for 41 patients treated with chemotherapy.

Martini N et al. The effects of preoperative chemotherapy on the resectability of non-small cell lung carcinoma with mediastinal lymph node metastases (N2 M0). *Ann Thorac Surg.* 1988 Apr;45(4):370-9.

Mariano Provencio, MD, PhD; Puerta de Hierro University Hospital, Madrid, Spain.

Long-term outcomes of operable stage III NSCLC in the pre-immunotherapy era: results from a pooled analysis of the SAKK 16/96, SAKK 16/00, SAKK 16/01, and SAKK 16/08 trials

D. König, MD^{1*}, S. Schär², D. Vuong³, M. Guckenberger³, K. Furrer⁴, I. Opitz², W. Weder⁵, S. I. Rothschild¹, A. Ochsenbein⁶, A. Zippelius⁷, A. Addeo⁷, M. Mark⁷, E. I. Eboulet², S. Hayoz², S. Thierstein², D. C. Betticher³, H.-B. Ris¹⁰, R. Stupp¹¹, A. Curioni-Fontecedro¹², S. Peters¹³, M. Pless¹⁴ & M. Früh¹⁵



König D et al. Corrigendum to 'Long-term outcomes of operable stage III NSCLC in the pre-immunotherapy era: results from a pooled analysis of the SAKK 16/96, SAKK 16/00, SAKK 16/01, and SAKK 16/08 trials': [ESMO Open Volume 7, Issue 2, (2022), 100455]. *ESMO Open.* 2022 Jun;7(3):100494.

New data?
Where does the data come from?

IASLC	O.S. 60 m
IB	68%
IIA	60%
IIB	53%
IIIA	36%

Stages I & II → Surgery & Adjuvant Ch

Neoadjuvant: **CM 816** (IB-IIIA)

Stage IIIA → Resectable

Perioperative: **NADIM I** (IIIA)

Adjuvant (IB-IIIA)

IMpower010 Atezolizumab
KN 091 Pembrolizumab

Perioperative

AEGEAN: Durva + Chemo (Neo):
 surgery + Adjuvant Durva
NEOTORCH: toripalimab + platinum
 Surgery + Adjuvant Tori
KN 671: Pembro + Chemo (Neo)
 Surgery+ adjuvant pembro
CM 77T: Nivo+ Chemo (Neo).
 Surgery + Adjuvant Nivo

Perioperative IIIA

NADIM II: Nivo+ Chemo (Neo).
 Surgery + Adjuvant Nivo (Stage IIIA)

Studies bringing new data

NADIM I IIIA (7th)

NADIM II IIIA-IIIIB (8th)
N2- T4 N01

CM 816 Ib-IIIIA (7th)

NEOTORCH II-III A-III B (8th)

AEGEAN IIIA-IIA-III B (N2) (8th)

KN 671 IIA-III B (8th)

CM 77T IIA-III B (8th)

Studies bringing new data

NADIM I

IIIA (7th)

NADIM II

N2- T4 N01

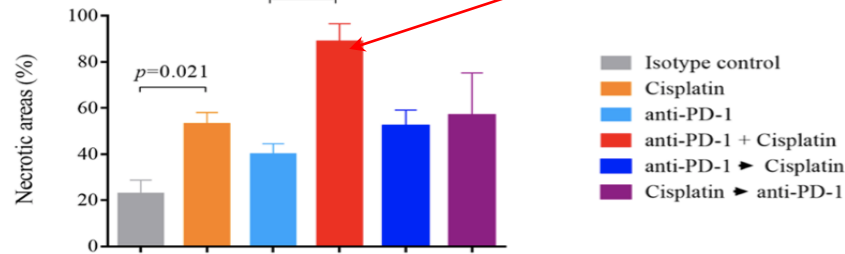
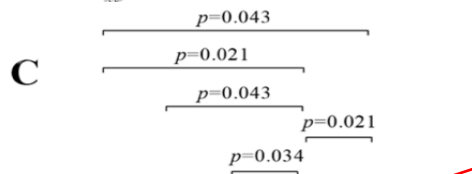
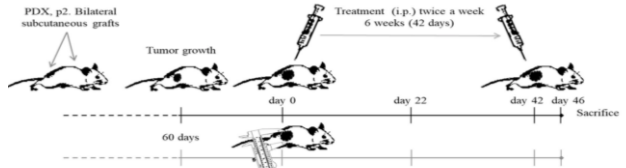
IIIA-IIIB (8th)

CM 77T

IIA-IIIB (8th)

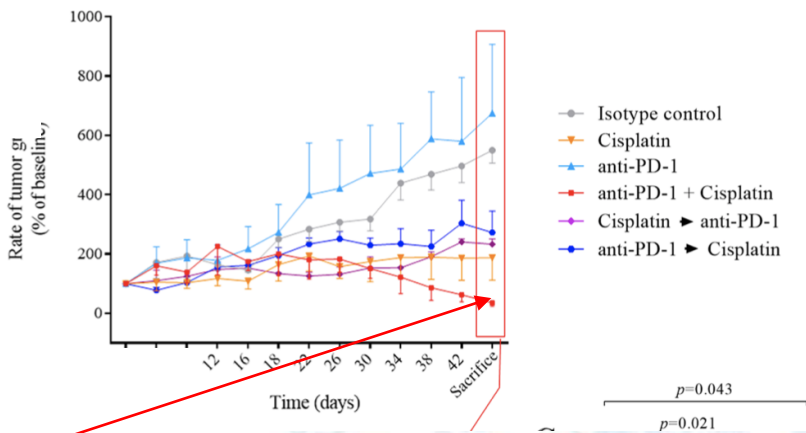
Effects of anti-PD-1 immunotherapy on tumor regression: insights from a patient-derived xenograft model

Asunción Martín-Ruiz^{1,2}, Carmen Fiuza-Luces³, Esther Martínez-Martínez^{1,4}, Clemente F. Arias⁵, Lourdes Gutiérrez¹, Manuel Ramírez⁶, Paloma Martín-Acosta⁷, María José Coronado⁸, Alejandro Lucía^{2,3} & Mariano Provencio¹✉



NADIM Rational: How to improve

Tumor growth curves in PDX4 mice



**Effects of anti-PD-1
immunotherapy on tumor
regression: insights from a patient-
derived xenograft model**

Asunción Martín-Ruiz^{1,2}, Carmen Fiuza-Luces¹, Esther Martínez-Martínez^{1,4},
Clemente F. Arias¹, Lourdes Gutiérrez², Manuel Ramírez², Paloma Martín-Acosta¹,
María José Coronado¹, Alejandro Lucía^{1,3} & Mariano Provençio^{1,5}

RESULTADOS
áreas necróticas

RESPUESTA A INMUMOTERAPIA.
EN UN MODELO PDX DE CARCINOMA ESCAMOSO DE PULMÓN. PDX4

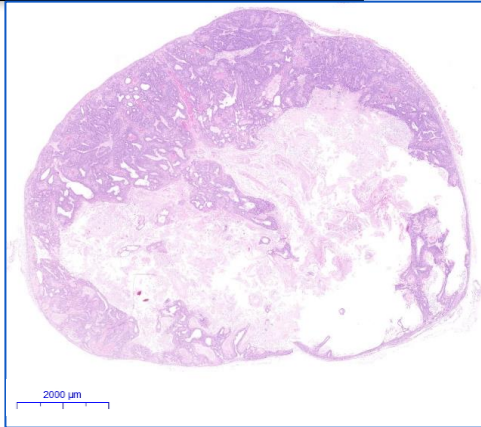


**Effects of anti-PD-1
immunotherapy on tumor
regression: insights from a patient-
derived xenograft model**

Asunción Martín-Ruiz^{1,2}, Carmen Fiuza-Luces¹, Esther Martínez-Martínez^{1,4},
Clemente F. Arias¹, Lourdes Gutiérrez², Manuel Ramírez², Paloma Martín-Acosta¹,
María José Coronado¹, Alejandro Lucía^{1,3} & Mariano Provencio^{1,5}

**RESPUESTA A INMUMOTERAPIA.
EN UN MODELO PDX DE CARCINOMA ESCAMOSO DE PULMÓN. PDX4**

**RESULTADOS
áreas necróticas**



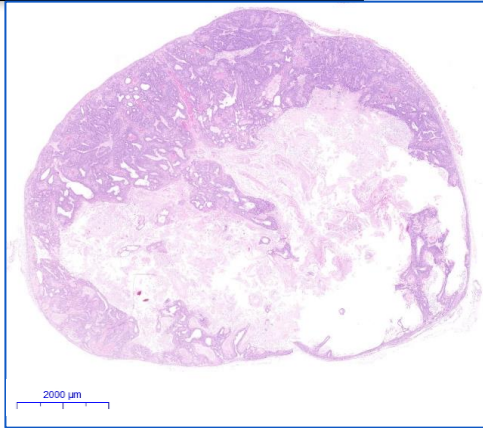
Cisplatino
53% necrosis

Effects of anti-PD-1 immunotherapy on tumor regression: insights from a patient-derived xenograft model

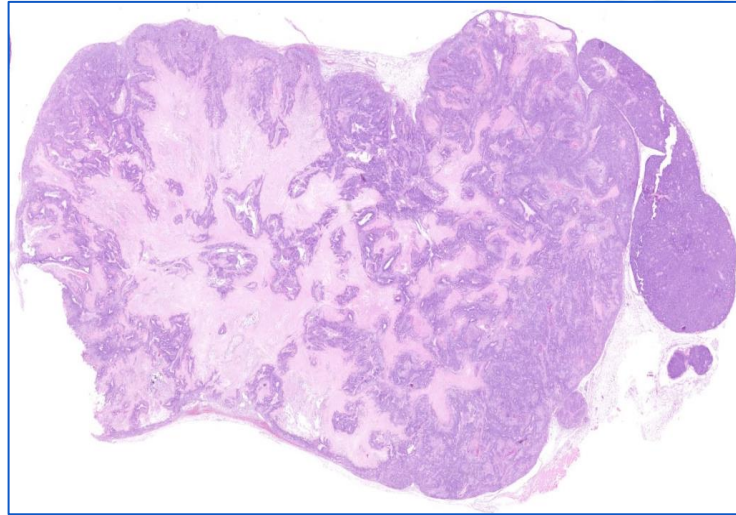
Asunción Martín-Ruiz^{1,2}, Carmen Fiuza-Luces¹, Esther Martínez-Martínez^{1,4}, Clemente F. Arias¹, Lourdes Gutiérrez², Manuel Ramírez², Paloma Martín-Acosta¹, María José Coronado¹, Alejandro Lucía^{1,3} & Mariano Provençio^{1,5}

RESPUESTA A INMUMOTERAPIA. EN UN MODELO PDX DE CARCINOMA ESCAMOSO DE PULMÓN. PDX4

RESULTADOS áreas necróticas



Cisplatino
53% necrosis



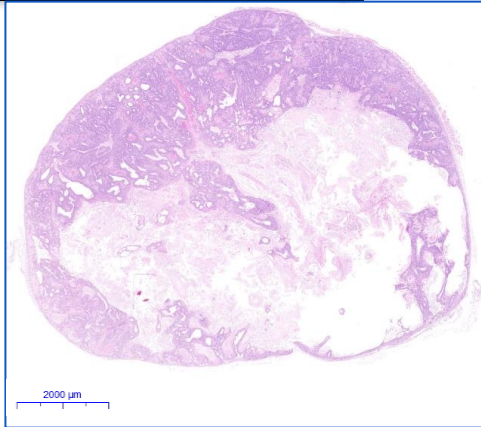
Nivolumab
40% necrosis

Effects of anti-PD-1 immunotherapy on tumor regression: insights from a patient-derived xenograft model

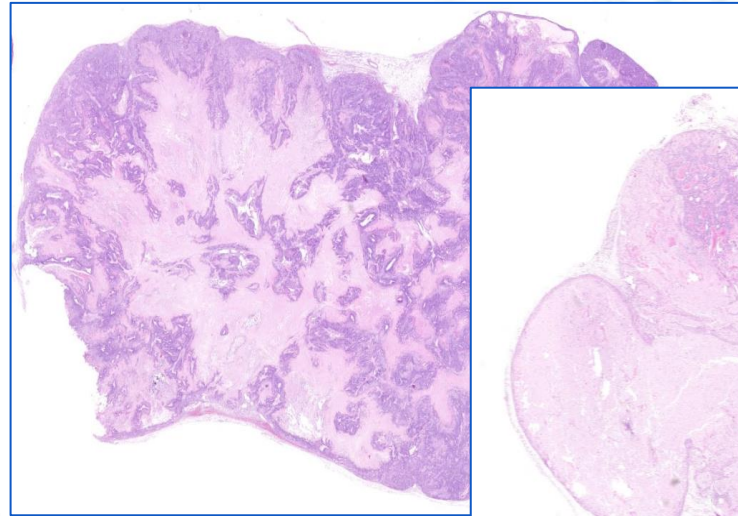
Asunción Martín-Ruiz^{1,2}, Carmen Fiuza-Luces¹, Esther Martínez-Martínez^{1,4}, Clemente F. Arias¹, Lourdes Gutiérrez², Manuel Ramírez², Paloma Martín-Acosta¹, María José Coronado¹, Alejandro Lucía^{1,3} & Mariano Provenzio^{1,5}

RESPUESTA A INMUMOTERAPIA. EN UN MODELO PDX DE CARCINOMA ESCAMOSO DE PULMÓN. PDX4

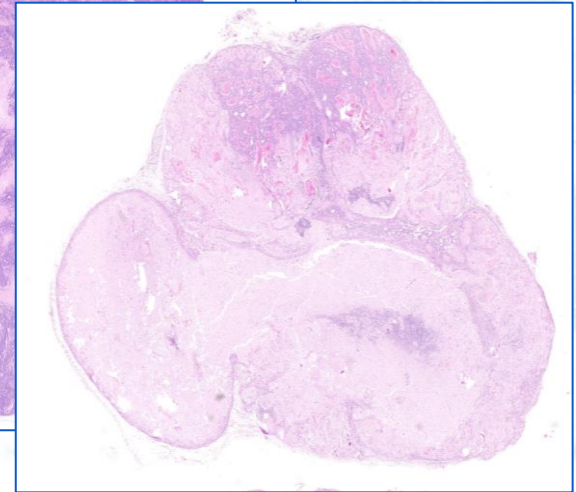
RESULTADOS áreas necróticas



Cisplatino
53% necrosis



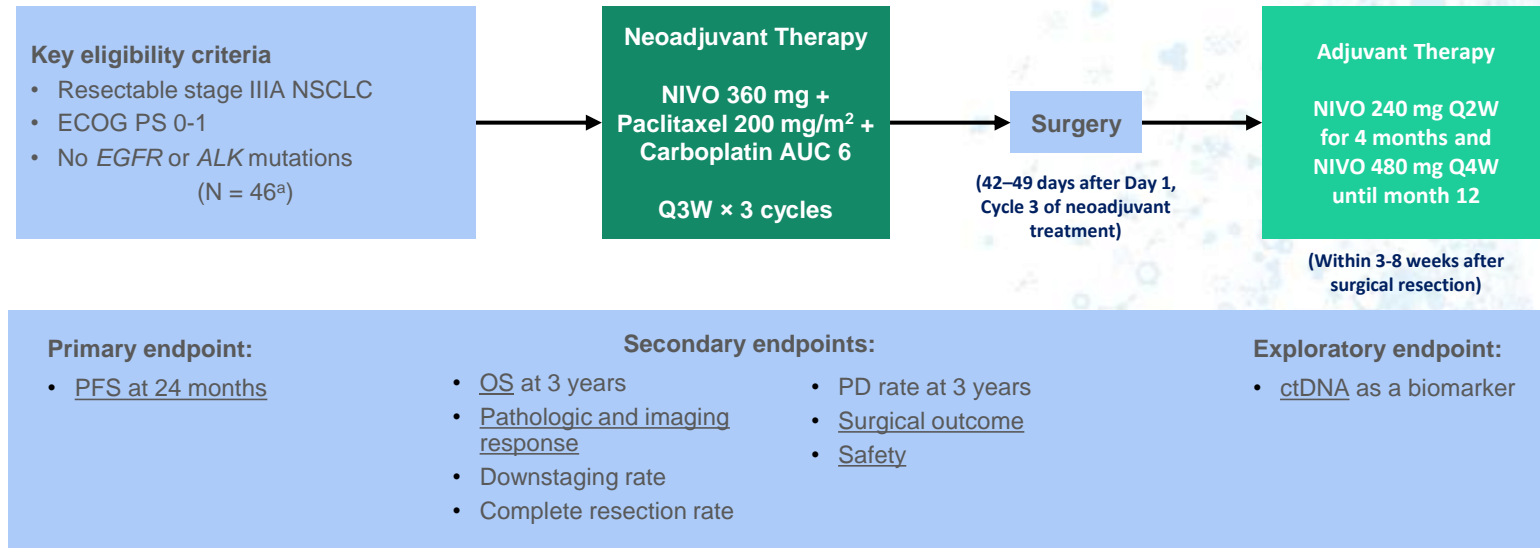
Nivolumab
40% necrosis



Cisplatino + Nivolumab (conco)
89% necrosis

NADIM (NCT03081689): study design

- NADIM was a phase 2, open-label study that assessed neoadjuvant NIVO in combination with chemotherapy in resectable stage IIIA NSCLC
- Patients received the combination prior to surgical resection, followed by adjuvant NIVO therapy



^aModified ITT population, which included all patients who received neoadjuvant treatment. Per-protocol population (n = 37) included all patients who had tumor resection and received ≥ 1 cycle of adjuvant treatment.
 AUC, area under the curve; ctDNA, circulating tumor DNA; NIVO, nivolumab; NSCLC, non-small cell lung cancer; OS, overall survival; PD, progressive disease; PFS, progression-free survival; PS, performance status.

Provencio M et al. *Lancet Oncol.* 2020;21:1413–1422.

NADIM: Patient characteristics and surgical details

Patient characteristics	ITT¹ (N = 46)	Surgical group² (n = 41)
Age, years	median (IQR): 63 (58–70)	mean (SD): 63.8 (9.05)
Sex, n (%)		
Male	34 (74)	29 (70.7)
Female	12 (26)	12 (29.3)
ECOG PS, n (%)		
0	25 (54)	–
1	21 (46)	–
Preoperative functional assessment, mean ± SD		
Forced expired volume in 1 second	–	87.80 ± 14.9
Diffusion capacity for carbon monoxide	–	75.74 ± 23.9
Maximal oxygen consumption test	–	87.33 ± 14.8
Smoking status		
Former smoker (≥ 1 year), n (%)	25 (54)	23 (56.1)
Current smoker, n (%)	21 (46)	18 (43.9)
Pack-years, median (IQR)	49 (39–61)	–
Histology, n (%)		
Adenocarcinoma	26 (57)	25 (61)
Squamous cell carcinoma	16 (35)	13 (31.7)
Not specified or undifferentiated	4 (9)	2 (4.9)
Large cell carcinoma	–	1 (2.4)
Comorbidities, n (%)		
Yes	43 (93)	–
No	3 (7)	–
Dyslipidemia	16 (35)	–
Hypertension	15 (33)	14 (34.1)
Diabetes	9 (20)	9 (22)
Chronic obstructive pulmonary disease	9 (20)	8 (19.5)
Heart disease	7 (15)	7 (17.1)
Hypercholesterolemia	4 (9)	18 (43.9)
Depressive disorder or anxiety	4 (9)	–
Nephropathy	2 (4)	2 (4.9)
Asthma	1 (2)	1 (2.4)
Vasculopathy	1 (2)	–

^aT1a (n = 1), T1b (n = 7), T1c (n = 6). ^bT2b. ^cT2a (n = 5), T2b (n = 3).

IQR, interquartile range; PS, performance status; SD, standard deviation; TNM, tumor, node, metastasis; VATS, video-assisted thoracoscopic surgery.

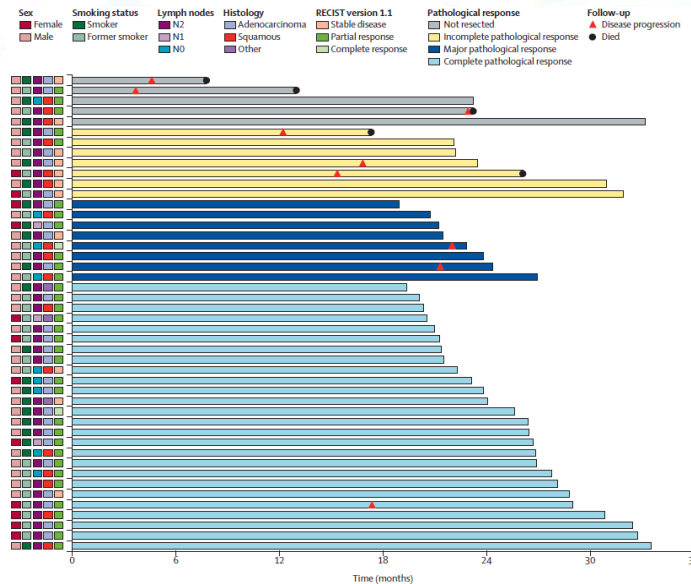
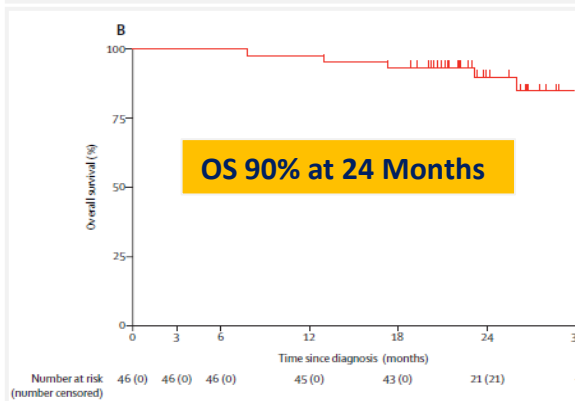
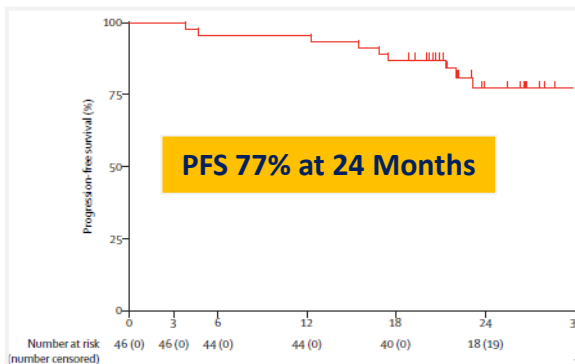
1. Provencio M et al. Neoadjuvant chemotherapy and nivolumab in resectable non-small-cell lung cancer (NADIM): an open-label, multicentre, single-arm, phase 2 trial. *Lancet Oncol.* 2020 Nov;21(11):1413-1422.

Clinical staging	ITT¹ (N = 46)	Surgical group² (n = 41)
Tumor lesion size, median (IQR), mm	35 (23–60)	–
Nodal stage, n (%)		
N0	9 (20)	8
N1	3 (7)	3
N2	34 (74)	30
Single	9 (20)	–
Multiple	25 (54)	–
TNM staging classification, n (%)		
T1N2M0	15 (33)	14 ^a
T2N1M0	1 (2)	1 ^b
T2N2M0	6 (13)	8 ^c
T3N1M0	1 (2)	1
T3N2M0	13 (28)	7
T4N0M0	9 (20)	8
T4N1M0	1 (2)	1
T4N2M0	–	1
Type of resection²		n (%)
Lobectomy		35 (85.3)
Right upper		20 (48.8)
Bronchial sleeve		1 (2.4)
Left upper		3 (7.3)
Vascular sleeve		2 (4.9)
Right lower		5 (12.2)
Left lower		4 (9.7)
Bilobectomy		3 (7.3)
Pneumonectomy		3 (7.3)
Approach		
VATS		21 (51.2)
Conversion to open		4 (19)
Thoracotomy		20 (48.8)

Adapted with permission.^{1,2}

Neoadjuvant chemotherapy and nivolumab in resectable nonsmall-cell lung cancer (NADIM): an open-label, multicentre, single-arm, phase 2 trial

Mariano Provencio, Ernest Nadal, Amelia Insa, María Rosario García Campelo, Joaquín Casal-Rubio, Manuel Dómine, Margarita Majem, Delvys Rodríguez-Abreu, Alex Martínez-Martí, Javier De Castro Carpeño, Manuel Cobo, Guillermo López Vivanco, Edel Del Barco,



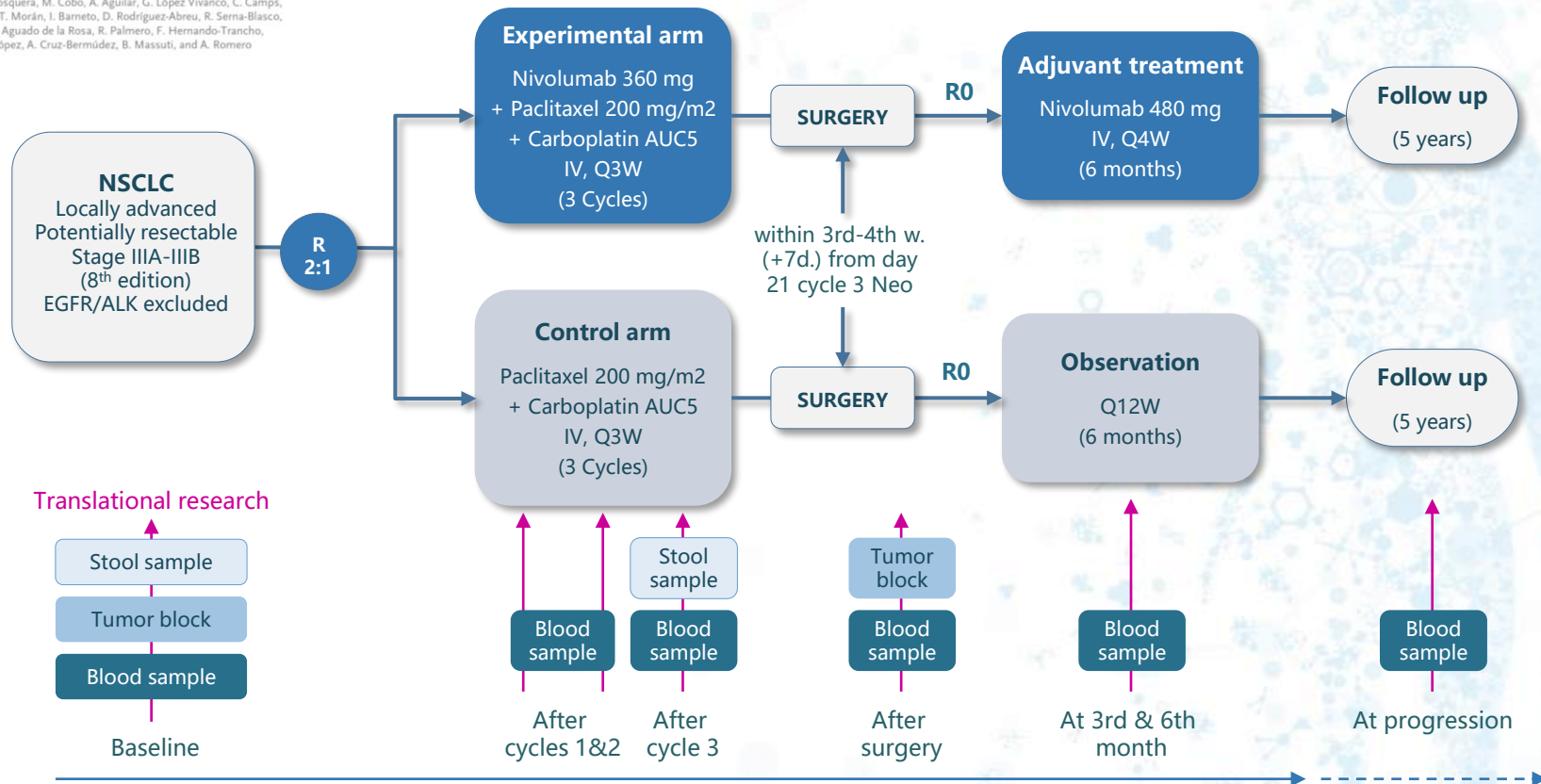
Incomplete Pathologic Response	7 (17.1 %)
Major Pathologic Response	34 (82.9 %)
Complete Pathologic Response	26 (63.4 %)

Provencio M et al. Lancet Oncol. 2020

Perioperative Nivolumab and Chemotherapy in Stage III Non-Small-Cell Lung Cancer

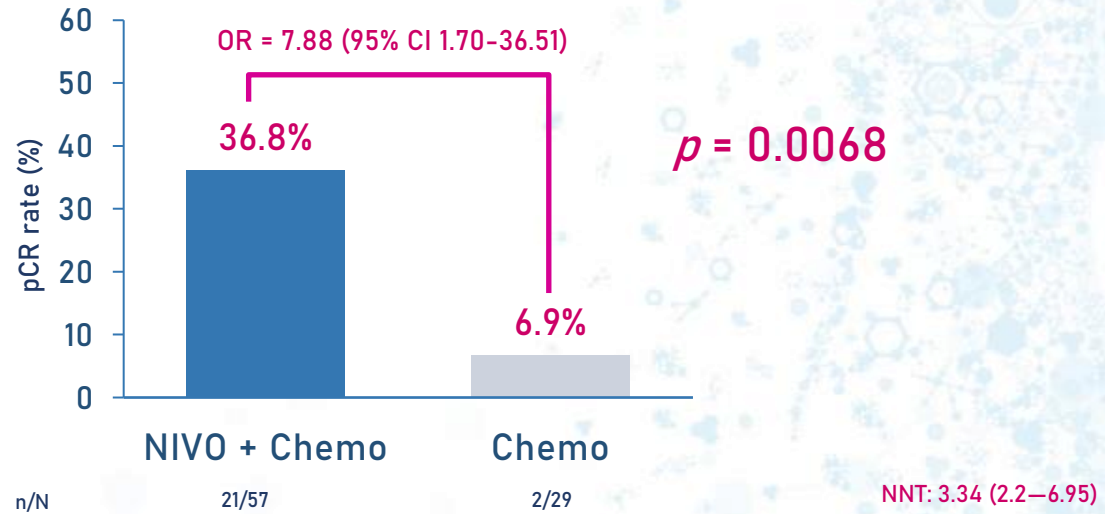
M. Provencio, E. Nadal, J.L. González-Larriba, A. Martínez-Martí, R. Bernabé, J. Bosch-Barrera, J. Casal-Rubio, V. Calvo, A. Insa, S. Ponce, N. Reguart, J. de Castro, J. Mosquera, M. Cobo, A. Aguilár, G. López Vivanco, C. Camps, R. López-Castro, T. Morán, I. Barneto, D. Rodríguez-Abreu, R. Serna-Blasco, R. Benítez, C. Aguado de la Rosa, R. Palmero, F. Hernando-Trancho, J. Martín-López, A. Cruz-Bermúdez, B. Massuti, and A. Romero

NADIM II (NCT03838159): study design



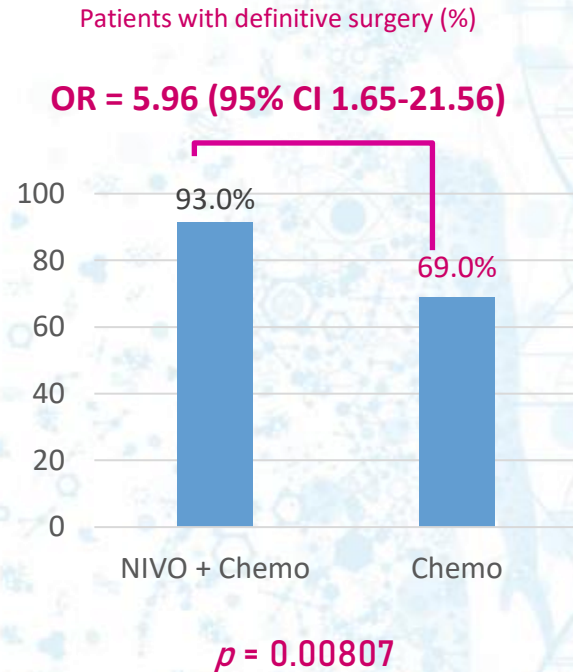
NADIM II. Primary endpoint - pCR

pCR^a rate in the ITT population^b



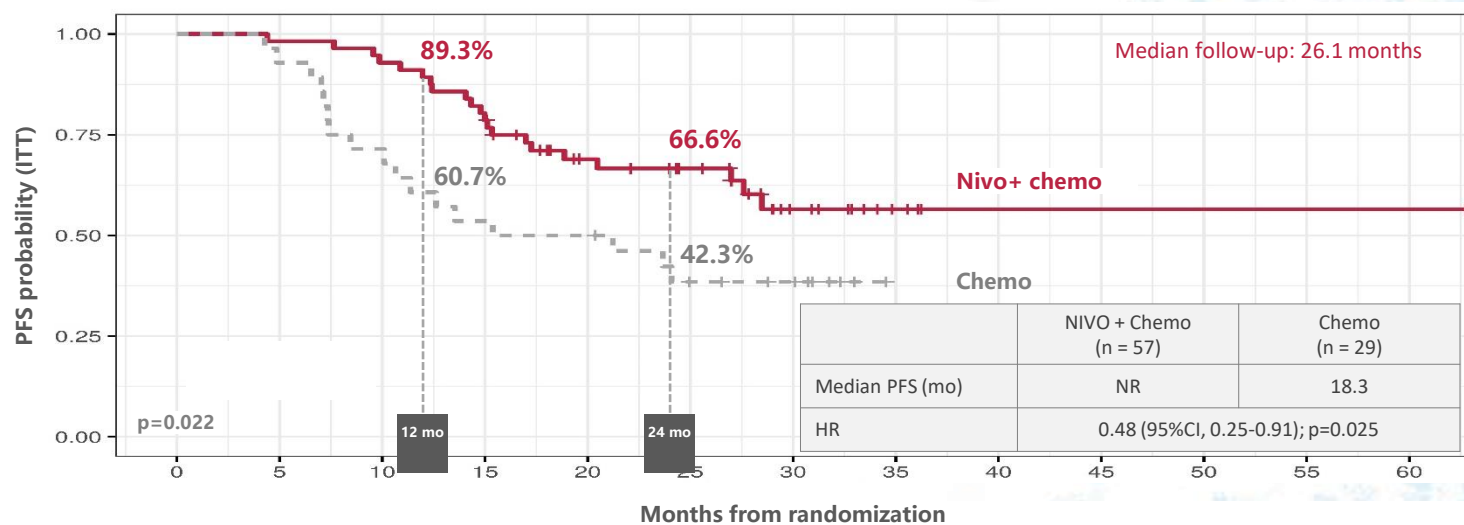
NADIM II-Surgery summary

Surgery summary			
Patients, No. (%)	NIVO + chemo (n = 57)	Chemo (n = 29)	Total
Patients with definitive surgery	53 (93.0)	20 (69.0)	73
Patients with cancelled definitive surgery	4 (7.0)	9 (31.0)	13
Due to adverse events	1 (1.7)	0 (0.0)	1
Due to disease progression	0 (0.0)	4 (13.7)	4
Not suitable for surgery	3 (5.2)	5 (17.2)	8



Nivo, nivolumab; Chemo, chemotherapy

NADIM II-SECONDARY ENDPOINTS – Progression-free survival

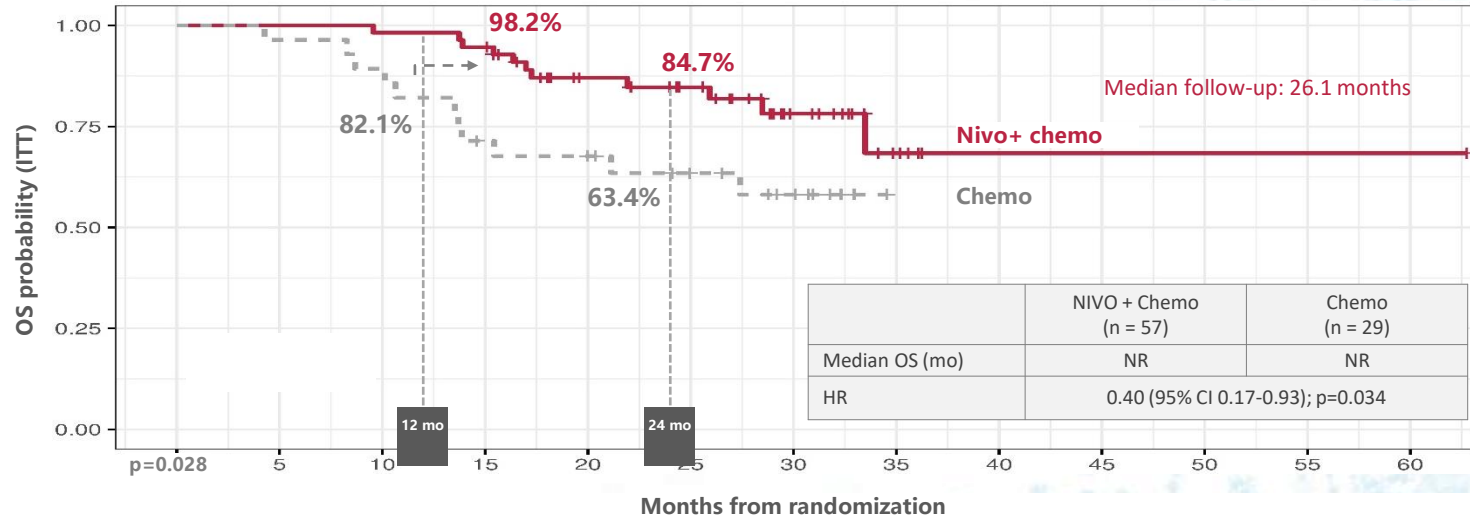


Number at risk

Nivo + chemo	56	55	52	44	30	24	11	4	1	1	1	1	1
Chemo	28	26	20	15	14	9	7	0	0	0	0	0	0

Progression-free survival was defined as the time from randomization to any of the following events: progression of disease, recurrence disease, or death due to any cause. Progression/recurrence will have determined by RECIST 1.1

NADIM II– Overall survival

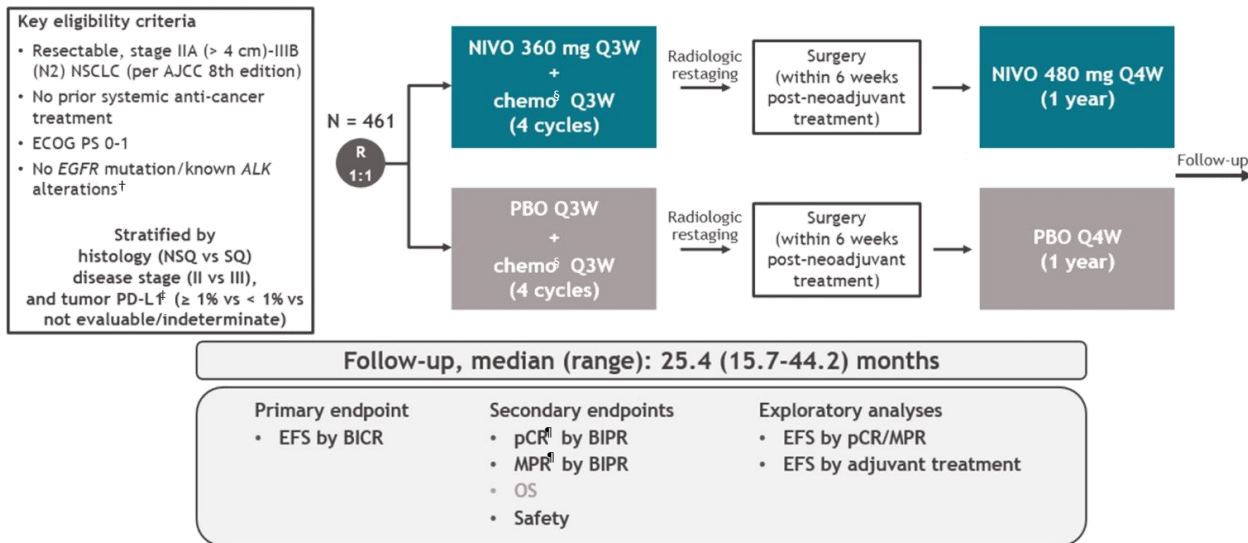


Number at risk

Nivo + chemo	56	56	55	53	37	31	15	5	1	1	1	1	1
Chemo	28	27	25	19	17	13	9	0	0	0	0	0	0

Overall survival was defined as the time from randomization to death. OS was censored on the last date a participant was known to be alive

CheckMate 77T: Phase 3 study of neoadjuvant nivolumab + chemo and adjuvant nivolumab in patients with resectable Stage II–IIIB NSCLC*1



Database lock date: 6 September 2023

*NCT04025879; [†]*EGFR* testing was mandatory in all patient with NSQ histology. *ALK* testing was done in patients with a history of *ALK* alterations. *EGFR/ALK* testing done using US FDA/local health authority-approved assays; [‡]Determined by the PD-L1 IHC 28-8 pharmDx assay (Dako); [§]NQS: cisplatin + pemetrexed, carboplatin + pemetrexed, or carboplatin + paclitaxel; SQ: cisplatin + docetaxel or carboplatin + paclitaxel; [¶]Assessed per immune-related pathologic response criteria²

AJCC, American Joint Committee on Cancer; *ALK*, anaplastic lymphoma kinase; BICR, blinded independent central review; BIPR, blinded independent pathological review; chemo, chemotherapy; ECOG PS, Eastern Cooperative Oncology Group Performance Status; EFS, event-free survival; *EGFR*, epidermal growth factor receptor; MPR, major pathological response; NIVO, nivolumab; NSCLC, non-small cell lung cancer; NQS, non-squamous; OS, overall survival; pCR, pathological complete response; PBO, placebo; PD-L1, programmed cell death ligand-1; QXW, every X weeks; R, randomised; SQ, squamous

1. Cascone T, et al. Presented at ESMO 2023 (Abstract LBA1); 2. Cottrell TR, et al. Ann Oncol 2018;29:1853–60

Introduction

- Nivolumab (NIVO) + chemotherapy (chemo) is the standard-of-care neoadjuvant treatment for eligible patients with resectable NSCLC
- A perioperative treatment approach including adjuvant NIVO could potentially further reduce the risk of relapse and improve clinical benefit in patients with resectable NSCLC^{1,2}
- CheckMate 77T is a global, randomized, double-blind, phase 3 study evaluating neoadjuvant NIVO + chemo followed by adjuvant NIVO (NIVO + chemo/NIVO) vs chemo/placebo (PBO) in patients with resectable stage II–IIIB NSCLC
 - Here, we present results from the first prespecified EFS interim analysis

CheckMate 77T: Phase 3 study of neoadjuvant nivolumab + chemo and adjuvant nivolumab in patients with resectable Stage II–IIIB NSCLC

Baseline characteristics

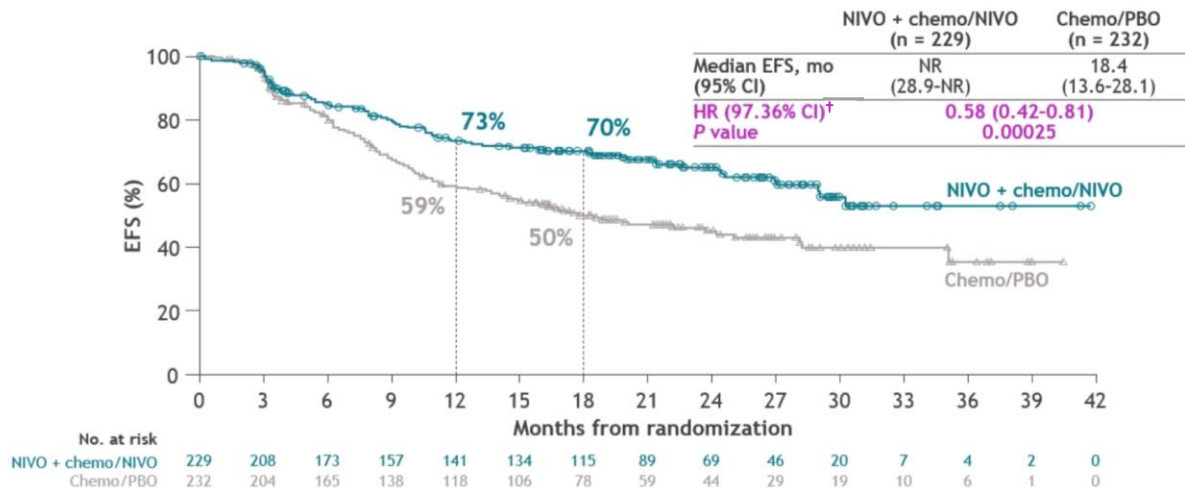
	NIVO + chemo/NIVO (n = 229)	Chemo/PBO (n = 232)*		NIVO + chemo/NIVO (n = 229)	Chemo/PBO (n = 232)*
Median age, years (range)	66 (37-83)	66 (35-86)	Smoking status, n (%)		
Male, n (%)	167 (73)	160 (69)	Current/former	212 (93)	205 (88)
Geographic region, n (%)			Never	17 (7)	27 (12)
North America	23 (10)	21 (9)	Tumor PD-L1 expression, [#] n (%)		
Europe	123 (54)	127 (55)	Not evaluable	8 (4)	11 (5)
Asia	65 (28)	50 (22)	< 1%	93 (41)	93 (40)
Rest of the world [†]	18 (8)	34 (15)	≥ 1%	128 (56)	128 (55)
ECOG PS, n (%)			1-49%	83 (36)	76 (33)
0	147 (64)	141 (61)	≥ 50%	45 (20)	52 (22)
1	82 (36)	91 (39)	Platinum therapy type, n (%)		
Disease stage, [‡] n (%)			Cisplatin	55 (24)	42 (18)
IIA-B [§]	81 (35)	81 (35)	Carboplatin	167 (73)	180 (78)
IIIA-B [¶]	146 (64)	149 (64)			
Histology, n (%)					
Squamous	116 (51)	118 (51)			
Non-squamous	113 (49)	114 (49)			

Percentages may not total 100 due to rounding. *1 (<1%) patient with squamous histology had reported *EGFR* mutation; this was tested locally and could not be confirmed due to site closure; [†]Includes only Argentina, Australia, Brazil, and Mexico; [‡]Disease stage (per AJCC 8th edition) as reported in case report forms. 2 (1%) patients in the NIVO + chemo/NIVO arm had Stage IIIC disease, and 2 (1%) patients in the chemo/PBO arm had Stage IV disease; [§]Stage IIA was reported in 15 (7%) patients in the NIVO + chemo/NIVO arm and 18 (8%) patients in the chemo/PBO arm; Stage IIB disease was reported in 66 (29%) and 63 (27%) patients, respectively; [¶]Stage IIIA was reported in 103 (45%) patients in the NIVO + chemo/NIVO arm and 114 (49%) patients in the chemo/PBO arm; Stage IIIB disease was reported in 43 (19%) and 35 (15%) patients, respectively. [#]Determined using the PD-L1 IHC 28-8 pharmDx assay (Dako)

AJCC, American Joint Committee on Cancer; Chemo, chemotherapy; ECOG PS, Eastern Cooperative Oncology Group Performance Status; *EGFR*, epidermal growth factor receptor; NIVO, nivolumab; NSCLC, non-small cell lung cancer; PBO, placebo; PD-L1, programmed cell death ligand-1
Cascone T, et al. Presented at ESMO 2023 (Abstract LBA1)

CheckMate 77T: Phase 3 study of neoadjuvant nivolumab + chemo and adjuvant nivolumab in patients with resectable Stage II–IIIB NSCLC

Primary endpoint: EFS* per BICR with neoadjuvant NIVO + chemo/adjuvant NIVO vs chemo/PBO



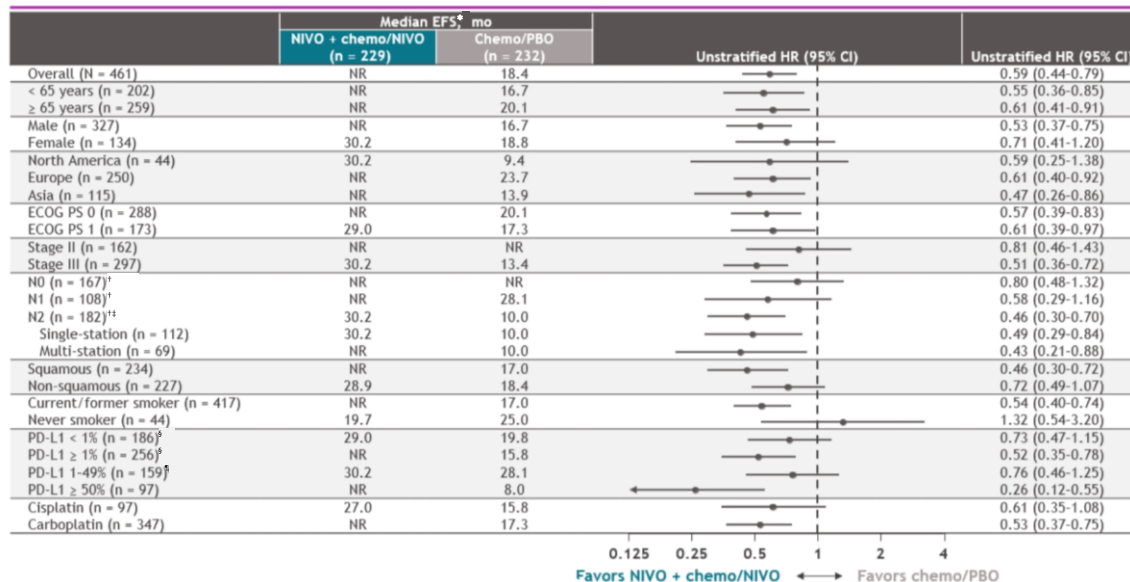
- EFS per investigator assessment, NIVO + chemo/NIVO vs chemo/PBO: HR, 0.56; 95% CI, 0.41–0.76

Median follow-up (range): 25.4 months (15.7–44.2). *Time from randomisation to any disease progression precluding surgery, abandoned surgery due to unresectability or disease progression, disease progression/recurrence after surgery, progression in patients without surgery, or death due to any cause. Patients who received subsequent therapy were censored at the last evaluable tumour assessment on or prior to the date of subsequent therapy. [†]Unstratified HR (95% CI), 0.59 (0.44–0.79)

BICR, blinded independent central review; CI, confidence interval; Chemo, chemotherapy; EFS, event-free survival; HR, hazard ratio; NIVO, nivolumab; NSCLC, non-small cell lung cancer; PBO, placebo Cascone T, et al. Presented at ESMO 2023 (Abstract LBA1)

CheckMate 77T: Phase 3 study of neoadjuvant nivolumab + chemo and adjuvant nivolumab in patients with resectable Stage II–IIIB NSCLC

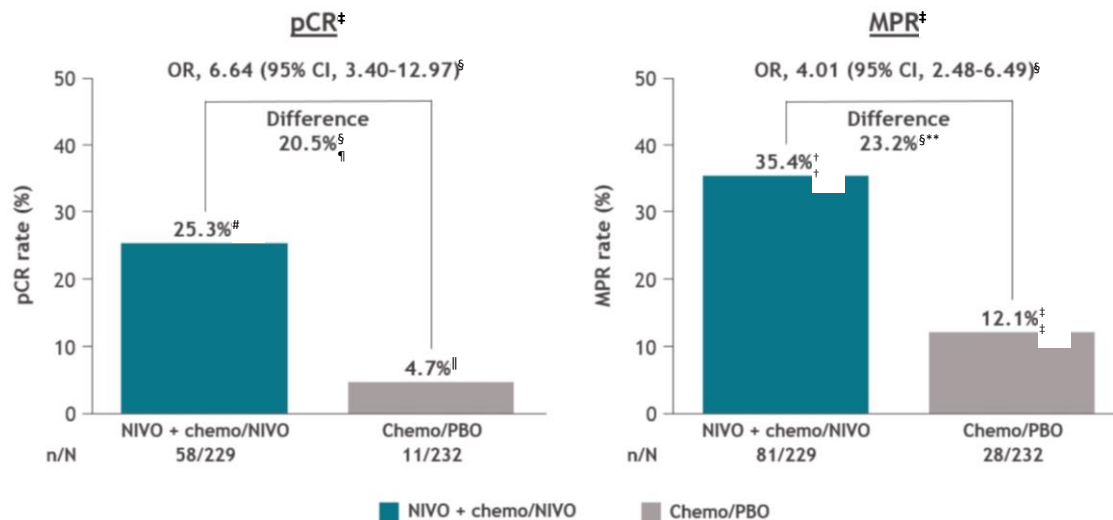
EFS analysis by key subgroups



Median follow-up (range): 25.4 months (15.7-44.2). *Per BICR; [†]Nodal status was N3 in 4 patients; [‡]N2 subcategory was not reported in 1 patient. Baseline characteristics were similar across treatment arms in the N2 nodal status subgroup, which comprised ~40% of patients; [§]Tumour PD-L1 expression was not evaluable/indeterminate in 19 patients; [¶]Most patients in this subgroup had low PD-L1 expression (median 10% across both arms) BICR, blinded independent central review; CI, confidence interval; Chemo, chemotherapy; ECOG PS, Eastern Cooperative Oncology Group Performance Status; EFS, event-free survival; HR, hazard ratio; NIVO, nivolumab; NSCLC, non-small cell lung cancer; PBO, placebo; PD-L1, programmed cell death ligand-1 Cascone T, et al. Presented at ESMO 2023 (Abstract LBA1)

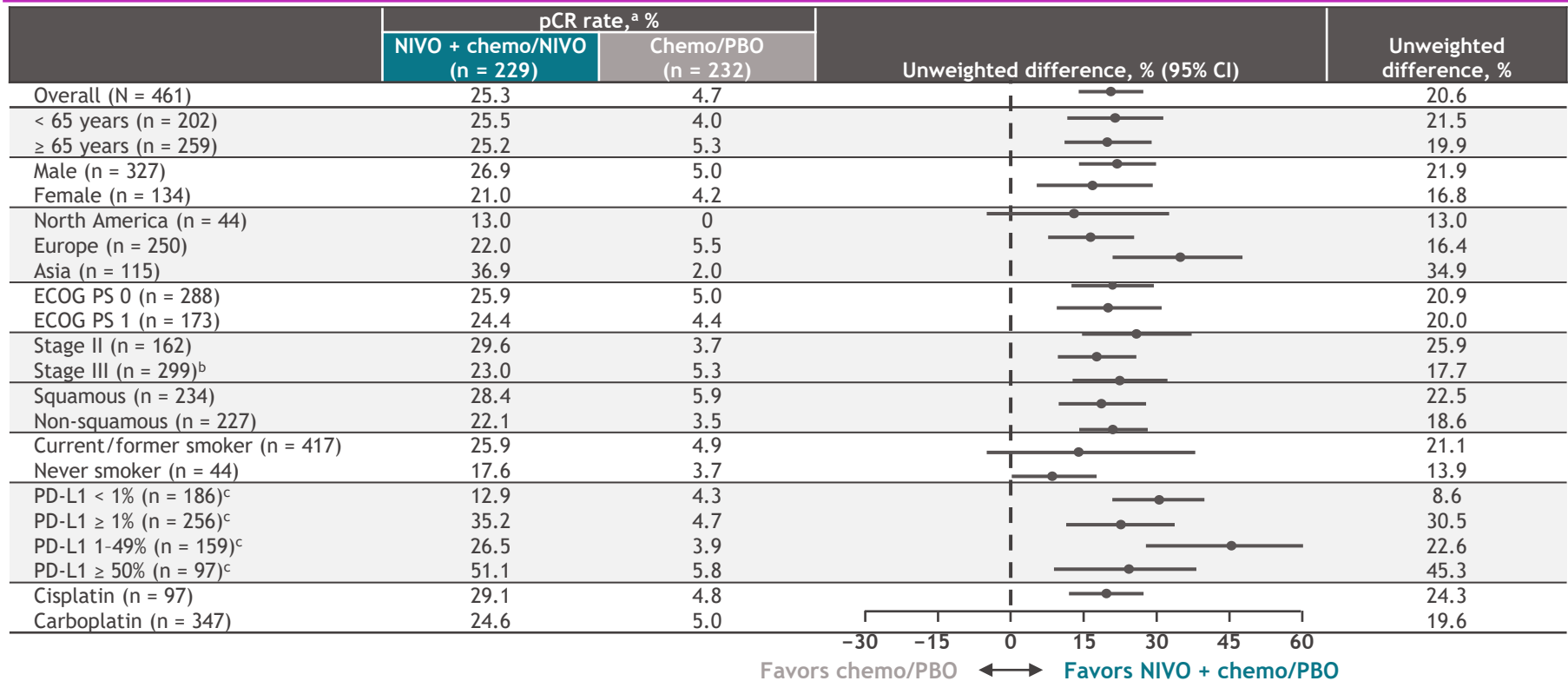
CheckMate 77T: Phase 3 study of neoadjuvant nivolumab + chemo and adjuvant nivolumab in patients with resectable Stage II–IIIB NSCLC

pCR* and MPR† per BIPR



*0% residual viable tumour cells post-surgery in both primary tumour (lung) and sampled lymph nodes per immune-related pathologic response criteria; †≤10% residual viable tumour cells post-surgery in both primary tumour (lung) and sampled nodes per immune-related pathologic response criteria; ‡Patients who did not undergo surgery or received alternative anti-cancer treatment prior to surgery were classified as non-responders; §Calculated using the stratified Cochran-Mantel-Haenszel method; ¶–††95% CI: ¶14.3–26.6; #19.8–31.5; ||2.4–8.3; **15.8–30.6; ††29.2–41.9; ††8.2–17.0 Chemo, chemotherapy; CI, confidence interval; MPR, major pathological response; NIVO, nivolumab; NSCLC, non-small cell lung cancer; OR, odds ratio; PBO, placebo; pCR, pathological complete response Cascone T, et al. Presented at ESMO 2023 (Abstract LBA1)

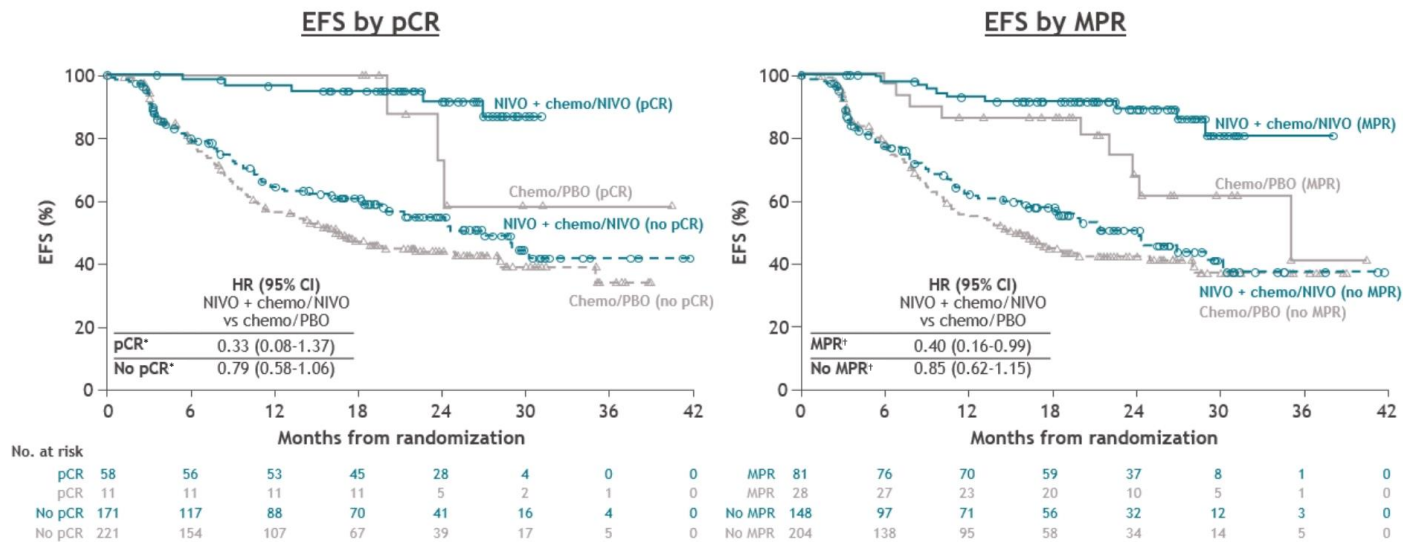
pCR subgroup analysis



^aPer BIPR. ^bIncluded 2 patients with stage IV disease. ^cTumor PD-L1 expression was not evaluable/indeterminate in 19 patients.

CheckMate 77T: Phase 3 study of neoadjuvant nivolumab + chemo and adjuvant nivolumab in patients with resectable Stage II–IIIB NSCLC

Exploratory analysis: EFS by pCR and MPR status



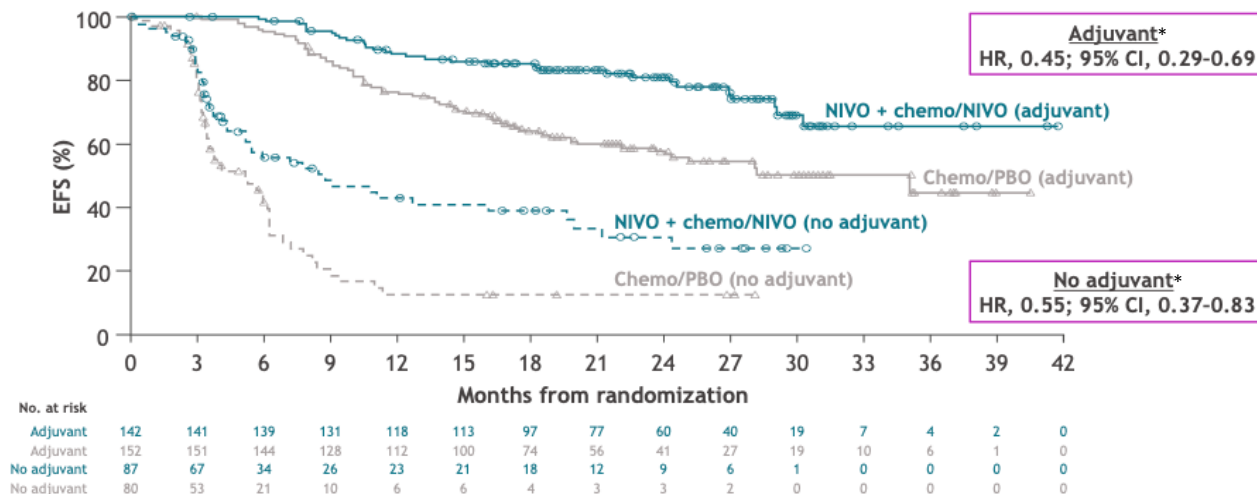
Median follow-up (range): 25.4 months (15.7-44.2). *HR (95% CI) 0.14 (0.06-0.35) in patients with pCR vs those without in the NIVO + chemo/NIVO arm and 0.32 (0.10-1.00) in the chemo/PBO arm; †HR (95% CI) 0.18 (0.09-0.35) in patients with MPR vs those without in the NIVO + chemo/NIVO arm and 0.40 (0.20-0.78) in the chemo/PBO arm

Chemo, chemotherapy; CI, confidence interval; EFS, event-free survival; HR, hazard ratio; MPR, major pathological response; NIVO, nivolumab; NSCLC, non-small cell lung cancer; PBO, placebo; pCR, pathological complete response

Cascone T, et al. Presented at ESMO 2023 (Abstract LBA1)

CheckMate 77T: Phase 3 study of neoadjuvant nivolumab + chemo and adjuvant nivolumab in patients with resectable Stage II–IIIB NSCLC

Exploratory analysis: EFS by adjuvant treatment status



- NIVO + chemo/NIVO improved EFS versus chemo/PBO with numerically higher benefit in patients who received adjuvant treatment (HR [95% CI], 0.45 [0.29, 0.69]) vs those who did not (HR [95% CI], 0.55 [0.37, 0.83])[†]

Median follow-up (range): 25.4 months (15.7–44.2). *HR (95% CI) with NIVO + chemo/NIVO vs chemo/PBO; †HR (95% CI), 0.17 (0.11, 0.27) in patients who received adjuvant treatment versus those who did not in the NIVO + chemo/NIVO arm and 0.15 (0.10, 0.22) in the chemo/PBO arm

Chemo, chemotherapy; CI, confidence interval; EFS, event-free survival; HR, hazard ratio; NIVO, nivolumab; NSCLC, non-small cell lung cancer; PBO, placebo
Cascone T, et al. Presented at ESMO 2023 (Abstract LBA1) and information provided Professor Provencio

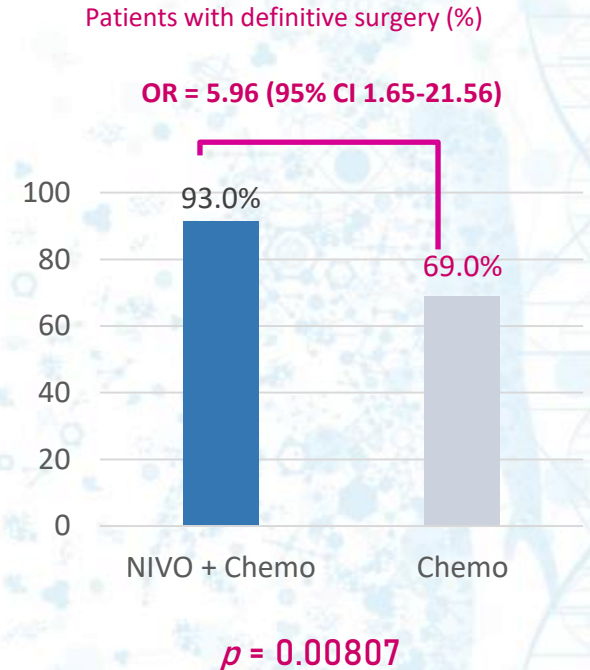
Neoadjuvant treatment



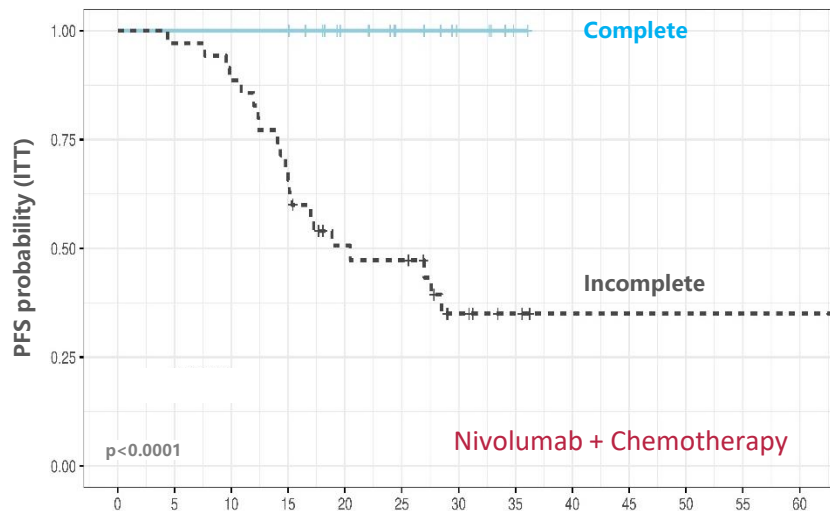
- Introduction
 - Old fashion neoadjuvant treatments
- New data, new trials
 - Design
 - Primary end points
- **New Key aspects**
 - Surgery aspects
 - Pathologic response
 - Biomarkers

NADIM II-Surgery summary

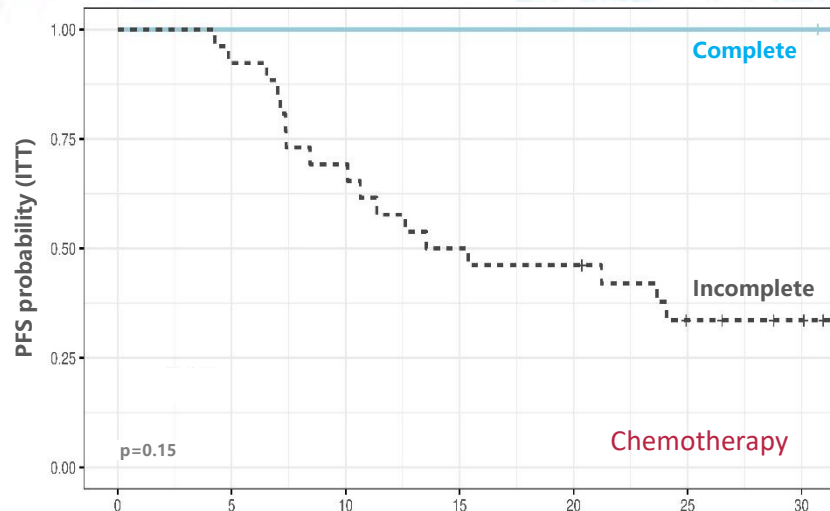
	NIVO + chemo (n = 57)	Chemo (n = 29)	Total
Patients with definitive surgery	53 (93.0)	20 (69.0)	73
Patients with cancelled definitive surgery	4 (7.0)	9 (31.0)	13
Due to adverse events	1 (1.7)	0 (0.0)	1
Due to disease progression	0 (0.0)	4 (13.7)	4
Not suitable for surgery	3 (5.2)	5 (17.2)	8



Secondary Endpoints – PFS by pCR status (NADIM II)



Number at risk	Months from randomization												
	0	5	10	15	20	25	30	35	40	45	50	55	60
Complete	21	21	21	21	15	10	5	1	0	0	0	0	0
Incomplete	35	34	31	23	15	14	6	3	1	1	1	1	1



Number at risk	Months from randomization							
	0	5	10	15	20	25	30	
Complete	2	2	2	2	2	2	2	
Incomplete	26	24	18	13	12	7	5	

46 Patients
NSCLC IIIA

Clinical Trial NADIM GECP-BMS

Neo-adjuvant Treatment
3 cycles 2 months

Evaluation
Surgery

Adjuvant Therapy
Follow up

Pre-treatment

Diagnostic
FFPE



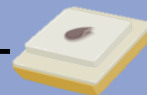
TILS Multiplex IF

TMB & RNAseq

TILS TCR & BCR

Antigen presentation

Surgery
FFPE



Cytokine ARRAYS

ctDNA

PBMCs Flow cytometry
(Phenotype)

PBMCs TCR & BCR



Plasma



PBMCs

Post-neo

6m--12m-- Relapse

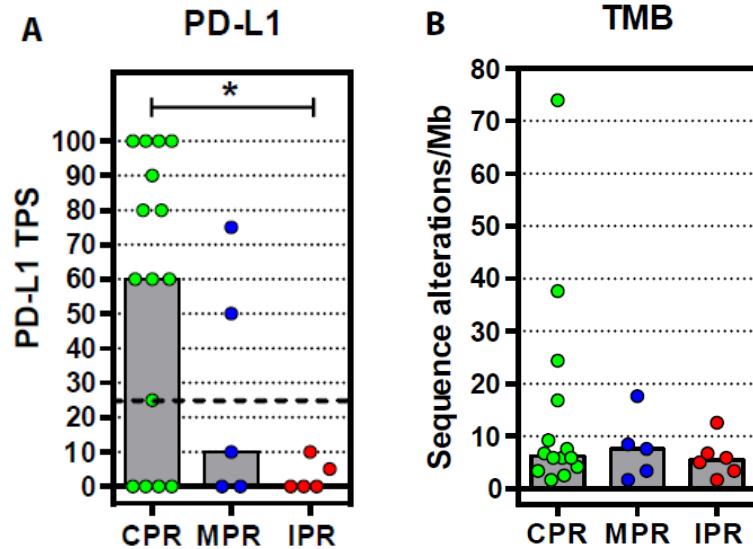


Clinical
Data

OVERALL
SURVIVAL

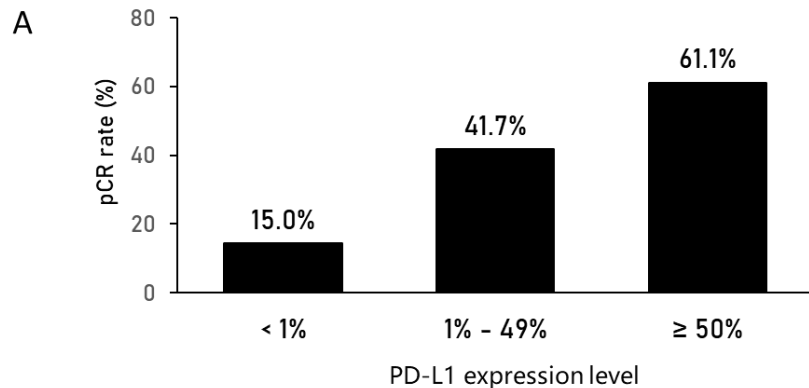
DISEASE FREE
SURVIVAL

IMMUNE
RELATED
ADVERSE
EFFECTS



PD-L1: CPR showed higher PD-L1 TPS
TMB no associated to pResponse

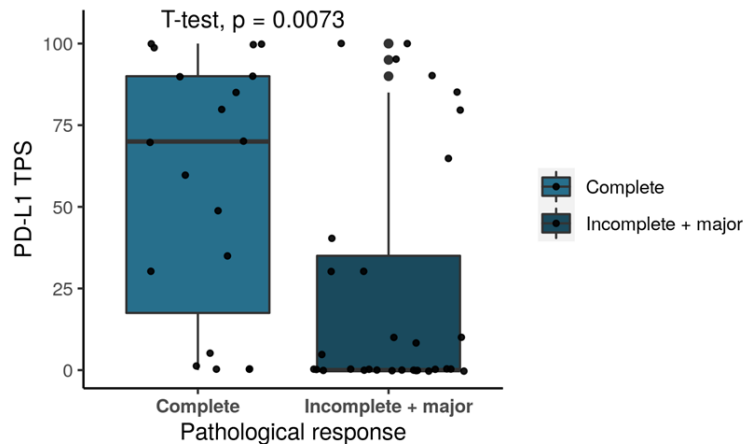
PD-L1

 $p = 0.014$ (Fisher's exact test)

The pCR rate rises across increasing categories of PD-L1 TPS

PD-L1

B



Patients with pCR had higher PD-L1 TPS compared to non-responders

Received: 13 April 2021 | Revised: 17 June 2021 | Accepted: 21 June 2021 | Published online: 14 July 2021
 DOI: 10.1002/ctm2.491

RESEARCH ARTICLE

CLINICAL AND TRANSLATIONAL MEDICINE

WILEY

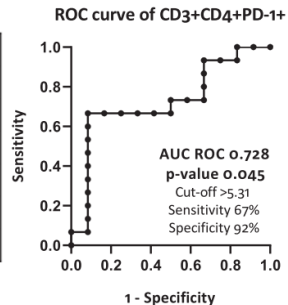
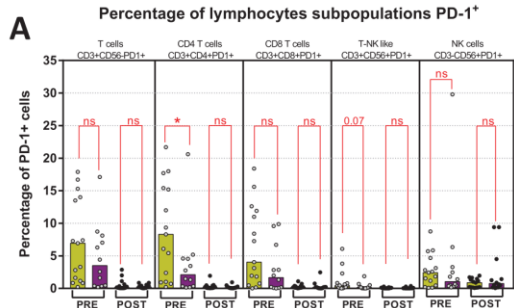
Blood biomarkers associated to complete pathological response on NSCLC patients treated with neoadjuvant chemoimmunotherapy included in NADIM clinical trial

BIOMARKER

MECHANISM

Level of PD1+ cells Pre-treatment associated to CPR

Differential impact of treatment in CPR at peripheral level



At diagnosis:

- ↑ CD4⁺PD-1⁺ cells
- ↑ NKG2D and CD56 MFI on T CD56 cells
- ↑ CD25 MFI on CD4⁺CD25hi⁺ cells
- ↑ CD69 MFI on intermediate monocytes
- ↑ CD3⁺CD56⁺CTLA-4⁺ cells
- ↑ CTLA-4 expression on T CD56 cells
- ↑ b-NGF, NT-3 and VEGF-D

CPR

Non-CPR

At Surgery:

- ↑ CD19 expression on B cells
- ↑ BCMA, 4-1BB, M-CSF and PARC
- ↑ M-CSF and PARC
- ↑ MIP1-1 and Flt-3L

Non-CPR

1. Non-small cell lung cancer (NSCLC) patients achieving complete pathologic response (CPR) after neoadjuvant chemoimmunotherapy seem to have a distinctive peripheral blood immune status at diagnosis and surgery.
2. At diagnosis, CPR patients are characterized by a stronger previously induced immune response with a higher cytotoxic profile and lower levels of inhibitory cytokines and cells.

Open access

Original research



Tumor microenvironment gene expression profiles associated to complete pathological response and disease progression in resectable NSCLC patients treated with neoadjuvant chemoimmunotherapy

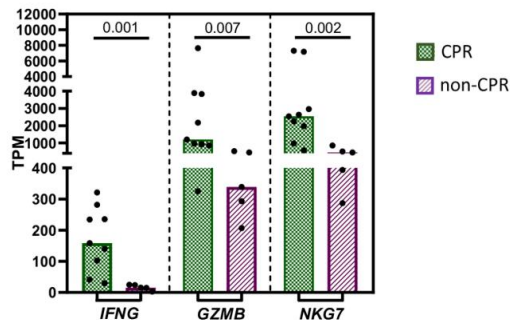
BIOMARKER

Pre-treatment IFN γ levels

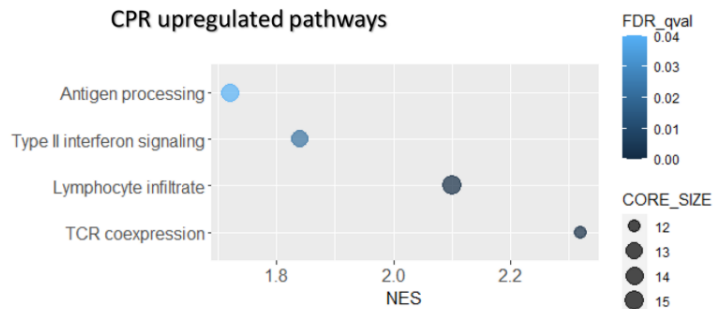
MECHANISM

Preset immune response: Differential basal tumor microenvironment in CPR patients
+
Greater changes in CPR patients

B



D



CLINICAL CANCER RESEARCH

Home About Articles For Authors Alerts News COVID-19 Webinars Search Q

Research Article

Pre-treatment tissue TCR repertoire evenness is associated with complete pathological response in patients with NSCLC receiving neoadjuvant chemoimmunotherapy

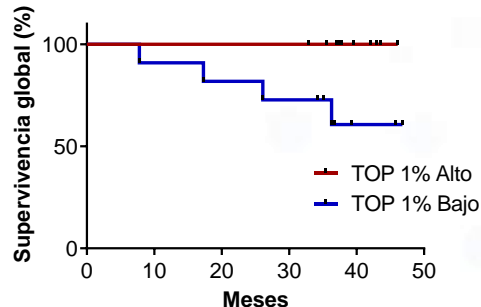
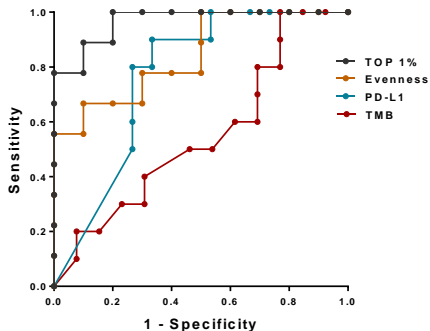
BIOMARKER

POSITIVE ASSOCIATION BETWEEN TCR REPERTOIRE AND CPR AT DIAGNOSIS

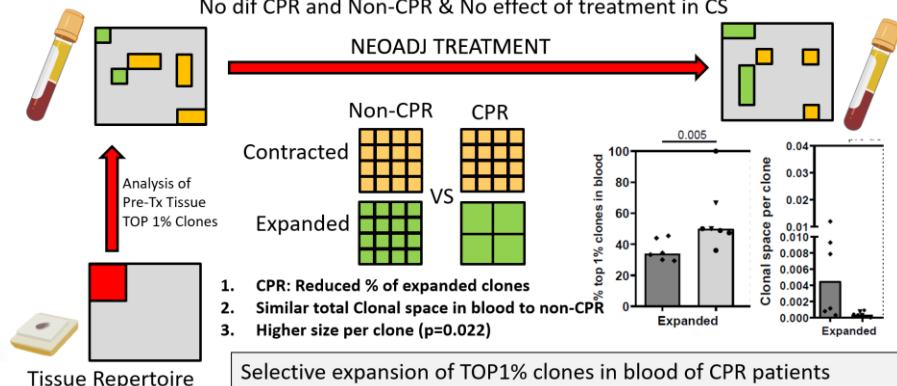
Most ranked clones (top 1%) Occupied greater frequency in total clonal space

MECHANISM

PERIPHERAL IMMUNOSURVILLANCE + DIFFERENTIAL TUMOR IMMUNOGENICITY



No dif CPR and Non-CPR & No effect of treatment in CS



Role of ctDNA in the Neoadjuvant setting

original reports

Overall Survival and Biomarker Analysis of Neoadjuvant Nivolumab Plus Chemotherapy in Operable Stage IIIA Non–Small-Cell Lung Cancer (NADIM phase II trial)

Mariano Provencio, MD, PhD¹; Roberto Serna-Blasco, MSc¹; Ernest Nadal, MD²; Amelia Insa, MD³; M. Rosario García-Campelo, MD⁴; Joaquín Casal Rubio, MD⁵; Manuel Dómine, MD⁶; Margarita Majem, MD⁷; Delys Rodríguez-Abreu, MD⁸; Alex Martínez-Martí, MD⁹; Javier De Castro Carpeño, MD¹⁰; Manuel Cobo, MD¹¹; Guillermo López Viranco, MD¹²; Edel Del Barco, MD¹³; Reyes Bernabé Caro, MD¹⁴; Nuria Viñolas, MD¹⁵; Isidoro Barneto Aranda, MD¹⁶; Santiago Viteri, MD¹⁷; Eva Pereira, MSc¹⁸; Ana Royuela, PhD¹; Virginia Calvo, MD¹; Javier Martín-López, MD¹; Francisco García-García, PhD¹⁹; Marta Casarubios, MSc¹; Fernando Franco, MD¹; Estela Sánchez-Herrero, MSc^{1,20}; Bartomeu Massuti, MD²¹; Alberto Cruz-Bermúdez, PhD¹; and Atocha Romero, PhD¹

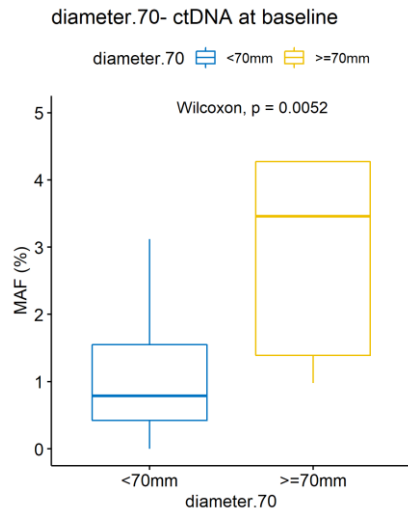
Journal of Clinical Oncology®
An American Society of Clinical Oncology Journal

TABLE 2. Prognostic Value of Tumor Response to Treatment Assessments on the Basis of CT Scans, Pathologic Evaluation, and ctDNA (landmark analysis)

Survival surrogate	No.	HR			C-index		HR			C-index	
		(PFS) ^a	95% CI ^a	P ^a	(PFS)	95% CI	(OS) ^a	95% CI ^a	P ^a	(OS)	95% CI
Clinical response (CR plus PR v SD)	46	0.79	0.24 to 2.59	.698	0.62	0.47 to 0.77	0.87	0.20 to 3.75	.848	0.72	0.51 to 0.90
Pathologic response (pCR v major plus incomplete)	41	0.38	0.12 to 1.25	.111	0.63	0.47 to 0.78	0.24	0.04 to 1.33	.102	0.65	0.43 to 0.86
Undetectable ctDNA after treatment	40	0.26	0.07 to 0.93	.038	0.63	0.45 to 0.81	0.04	0.00 to 0.55	.015	0.82	0.61 to 1.00

Baseline ctDNA is of prognostic significance

Pre-treatment ctDNA levels were significantly associated with tumor size (maximum diameter ≥ 70 mm)



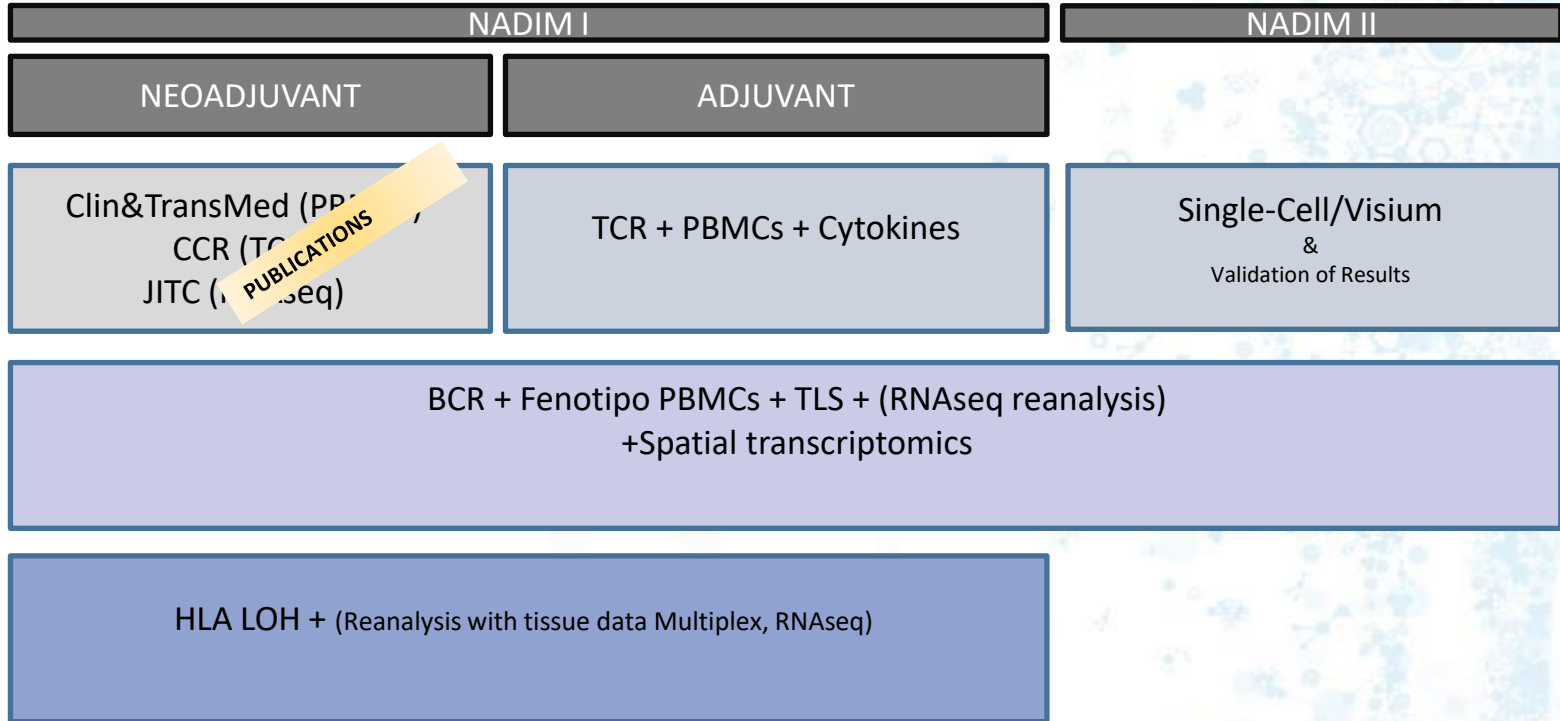
Median follow up time was 26.1 (IQR: 17.6-30.9) months

Pre-treatment ctDNA levels were significantly associated with progression free survival (PFS) and overall survival (OS) and regardless of the cutoff used (Table 1).

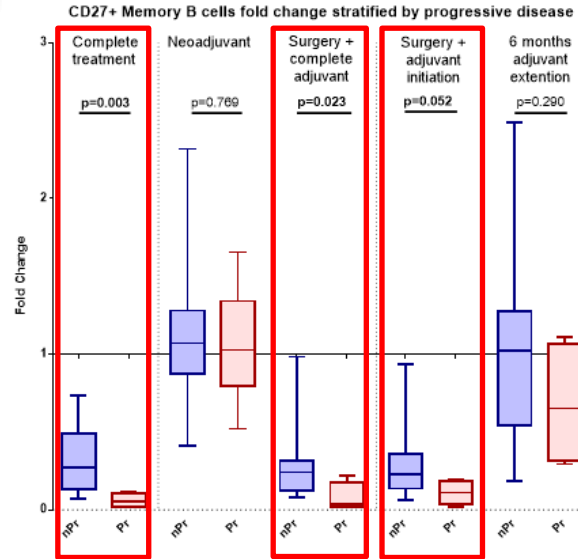
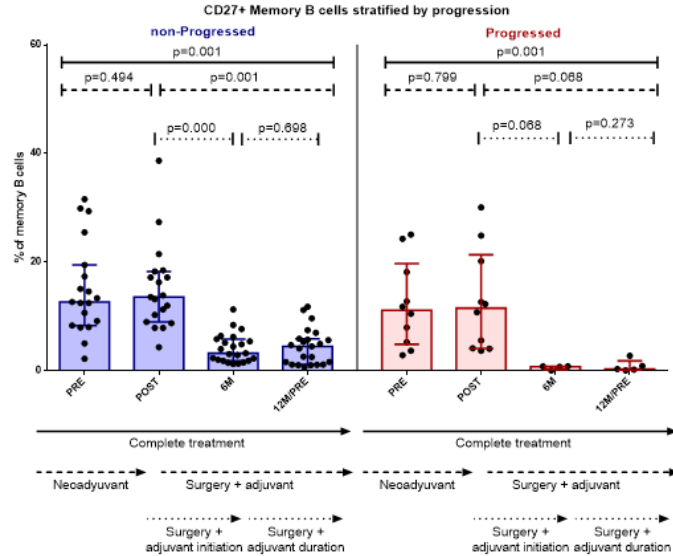
PFS				OS			
Cut-off	HR (95% CI for HR)	P-value	P-value LogRank	Cut-off	HR (95% CI for HR)	P-value	P-value LogRank
MAF 3%	0.43 (0.19-0.96)	0.039	0.033	MAF 3%	0.32 (0.11-0.91)	0.032	0.024
MAF 3.5%	0.34 (0.15-0.79)	0.012	0.008	MAF 3.5%	0.23 (0.079-0.64)	0.005	0.002
MAF 4%	0.28 (0.12-0.66)	0.003	0.002	MAF 4%	0.19 (0.067-0.55)	0.002	0.001
MAF 4.5%	0.29 (0.12-0.69)	0.005	0.003	MAF 4.5%	0.16 (0.058-0.47)	0.001	<0.001
MAF 5%	0.29 (0.12-0.69)	0.005	0.003	MAF 5%	0.16 (0.058-0.47)	0.001	<0.001
MAF 5.5%	0.35 (0.14-0.87)	0.024	0.018	MAF 5.5%	0.21 (0.071-0.62)	0.005	<0.001
MAF 6%	0.28 (0.11-0.76)	0.012	0.007	MAF 6%	0.21 (0.065-0.67)	0.008	0.004
MAF 6.5%	0.28 (0.11-0.76)	0.012	0.007	MAF 6.5%	0.21 (0.065-0.67)	0.008	0.004
MAF 7%	0.28 (0.11-0.76)	0.012	0.007	MAF 7%	0.21 (0.065-0.67)	0.008	0.004
MAF 7.5%	0.28 (0.11-0.76)	0.012	0.007	MAF 7.5%	0.21 (0.065-0.67)	0.008	0.004
MAF 8%	0.29 (0.1-0.86)	0.025	0.017	MAF 8%	0.16 (0.05-0.52)	0.002	<0.001
MAF 8.5%	0.29 (0.1-0.86)	0.025	0.017	MAF 8.5%	0.16 (0.05-0.52)	0.002	<0.001
MAF 9%	0.29 (0.1-0.86)	0.025	0.017	MAF 9%	0.16 (0.05-0.52)	0.002	<0.001
MAF 9.5%	0.29 (0.1-0.86)	0.025	0.017	MAF 9.5%	0.16 (0.05-0.52)	0.002	<0.001
MAF 10%	0.29 (0.1-0.86)	0.025	0.017	MAF 10%	0.16 (0.05-0.52)	0.002	<0.001
MAF 15%	0.12 (0.024-0.56)	0.007	0.001	MAF 15%	0.084 (0.017-0.41)	0.002	<0.001

Table 1. Hazard ratio (HR), 95% confidence interval (95%CI), and P-values for PFS and OS according to ctDNA levels at baseline. Abbreviations: MAF, mutant allele fraction; OS, overall survival; PFS, progression-free survival.

NADIM ONGOING PROJECTS



Changes in CD27+ Memory B cells throughout treatment

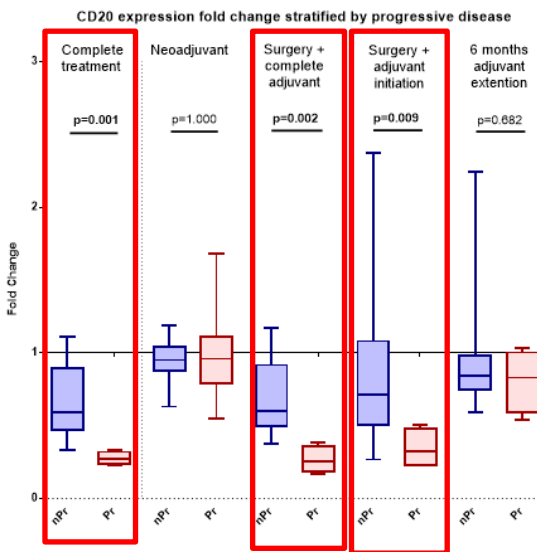
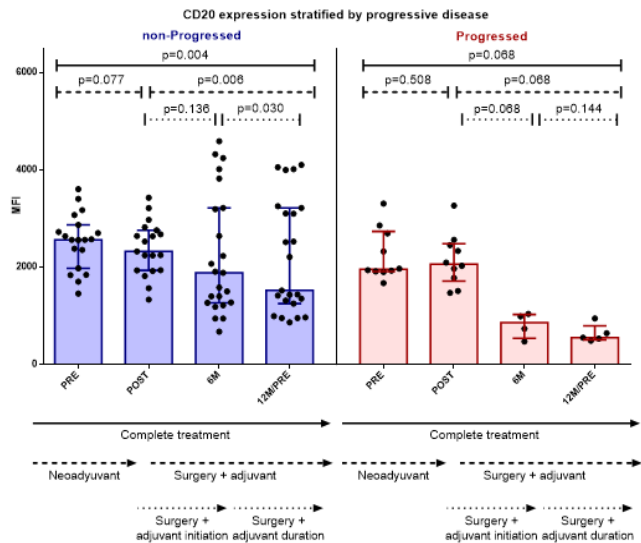


The magnitude of the memory B cell percentage **reduction** was significantly more pronounced in patients whose **disease progress** thereafter.

Immunosurveillance during adjuvant therapy at NADIM

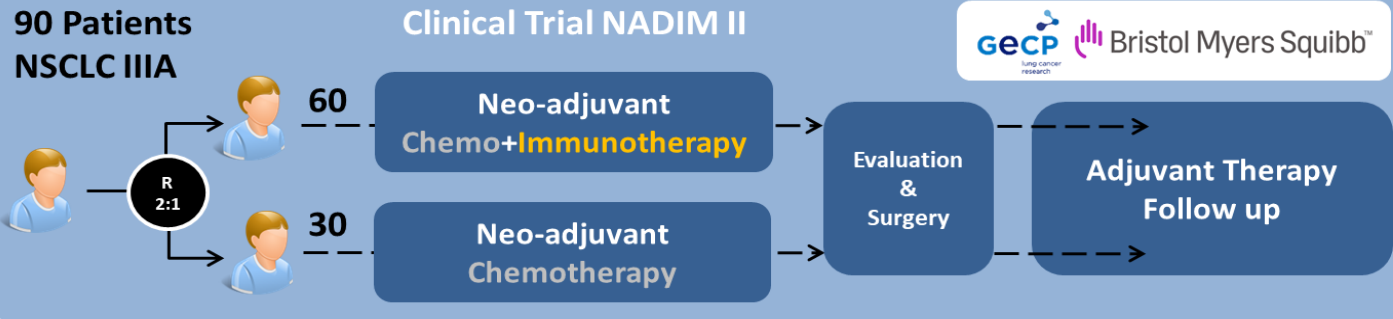
Flow cytometry: B cells

Changes in CD20 expression in B cells throughout treatment



The magnitude of CD20 levels reduction was significantly more pronounced in patients whose disease progress thereafter.

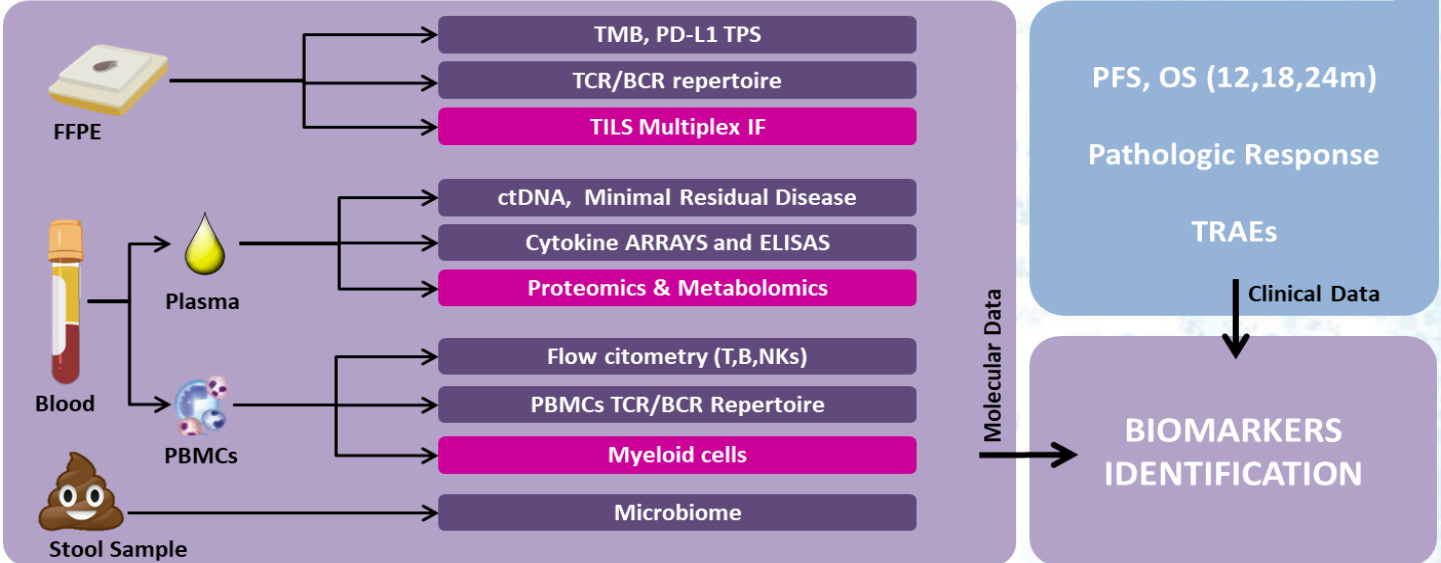
Patients



Samples



Translational Project



1. *Provencio et al 2020. Lancet Oncology.*
2. *Sierra et. al. 2021. Journal for immunotherapy of cancer.*
3. *Casarrubios et. al. 2021. Clinical Cancer Research.*
4. *Laza et. al. 2021. Clinical and translational Medicine.*
5. *Romero-Roman et. al. 2021. European Journal of Cardiothoracic Surgery*
6. *Rocha et. al. 2022 (Collab with I.Wistuba Lab). Clinical Cancer Research*
7. *Casarrubios et. al. 2022. Journal for immunotherapy of cancer.*
8. *Provencio et. al. 2022. Journal of Clinical Oncology*
9. *Provencio M et al. 2023. NEJM*



FUTURE DIRECTIONS

- Single cell approach & Spatial Transcriptomics
- Validation in REAL NADIM 100 patients
- Adjuvant treatment NADIN I and II
- NADIM ADJUVANT
- SUPER NADIM-ATHENEA- ARIAN

Conclusions

- Ch-IO should be the standard as induction treatment.
- It will be difficult to compare studies as not all of them specify who are N2 or not.
- The clinical approach of N2 or N3 is crucial.
- Less information if they are single or multiple N2.
- In any case, the results are much better and very consistent compared with the standard treatment.
- The question of adjuvant treatment after surgery remains to be resolved.

Thank you!

Mariano Provencio, MD, PhD; Puerta de Hierro University Hospital, Madrid, Spain.